

# FY 2021 Changes to Governor as Contained in 2020-H 7171, Substitute A

## Summary of Recurring Items of Interest

**COVID Related Personnel Expenses.** The federal CARES Act adopted in response to the crisis provided \$1,250.0 million to Rhode Island for related expenditures incurred between March 1, 2020 and December 30, 2020, including responding directly to the emergency. Payroll expenses for public safety, public health, health care, human services, and similar employees whose services are substantially dedicated to mitigating or responding to the COVID-19 public health emergency are allowable expenses as are those to facilitate compliance with COVID-19 related public health measures. Revised federal guidance issued in September further clarified and broadened eligible costs. H 7171, Substitute A contains adjustments in 13 department and agencies to use these coronavirus relief funds, offsetting a like amount of general revenues. For FY 2021, total savings are \$148.6 million. Savings for each department and agency are shown in the adjustments documented in the pages that follow.

**Rhode Island Capital Plan Project Revisions.** The Governor requested a budget amendment in October that made adjustments to both approved and proposed funding for dozens of capital projects funded from Rhode Island Capital Plan funds in order to align projects with available resources. This pay as you go funding source is derived from excess funds after three percent of state revenues are used to meet the annual five percent rainy day funding cap. Use of the rainy day fund to balance the FY 2020 budget interrupted the normal flow of resources into the Rhode Island Capital Plan Fund creating a resource shortfall absent full payback of the \$120 million. Many capital projects have been adjusted in H 7171, Substitute A to account for these and other changes such as the carry forward of funding for projects underway. Changes for each department and agency are documented in the pages that follow and all begin with the word “Capital” followed by the project name; each is a distinct line item in Article 1.

**Workshare.** The Department of Administration instituted a program to allow non-essential state employees to participate in a program, whereby they work 60 percent of their regular weekly hours from June 14, 2020 through September 5, 2020 and claim federal WorkShare benefits for the remaining time. Benefits for such programs are fully paid with federal funds. Because of the extra \$600 weekly payment that accompanies all unemployment claims through the end of July, participants earning less than \$69,500 are not adversely impacted and may see increased compensation. Statewide, this program produced savings of \$7.7 million in FY 2020 and \$10.0 million in FY 2021 including \$6.2 million from general revenues. H 7171, Substitute A reduces expenditures to capture the savings for FY 2021. Savings for each department and agency are shown in the adjustments documented in the pages that follow.

## Revenue Changes

**1. FY 2020 Surplus Adjustments.** The State Controller issued preliminary closing statements for FY 2020 on September 7 which showed a \$180.4 million surplus. Updated guidance from the federal government on the use of state Coronavirus Relief funds has allowed for approximately \$70 million of expenses previously funded from state general revenues to be assigned to these federal funds thereby increasing the opening surplus by the same amount. Additionally, there is a pending audit adjustment related to about \$100 million of expenses incurred in FY 2020 that are being reimbursed through the Federal Emergency Management Agency. According to accounting standards states must follow, these funds have different rules than most other federal grants. Without certain specific reimbursement agreements in place as of June 30, expenditures that are ultimately eligible for reimbursement must be recorded as being paid from state sources in FY 2020 and then the reimbursement is treated as an increase to surplus in FY 2021. In this case there is a mismatch across fiscal years of the expense and the source funding it, but there is ultimately no net change over the two year period. The combination of these two pending adjustments result in about \$70 million more in available opening surplus for use in FY 2021.

**2. Casino Operation Interruption.** The Governor announced temporary closings of certain businesses, including the state's two casinos, for a period of two weeks beginning November 30 which will affect revenues collected through those facilities. H 7171, Substitute A assumes that the closure and potential other surge related interruptions will lower available revenues and adjusts them downward by \$18.0 million to recognize the potential impact.

**3. November Revenue Conference.** The November 2020 Revenue Estimating Conference decreased FY 2021 estimated revenues to \$4,056.5 million based on updated collections and a revised economic forecast. The estimate is \$330.6 million more than the May estimate and \$240.8 million less than included in the Governor's budget, adjusted for recommended changes that could not be included in the estimate which were also adjusted for the impact of delayed budget enactment.

**4. Earned Income Tax Credits.** H 7171, Substitute A does not contain the Governor's proposal to increase Rhode Island's refundable earned income tax credit by one percentage point annually for five years from 15.0 percent to 20.0 percent of the federal credit. Fully phased in, the annual lost revenue would be \$11.6 million; the FY 2021 impact would be \$1.0 million.

**5. Alcohol Tax Changes.** H 7171, Substitute A does not include the Governor's proposal to repeal the sales tax exemption for wine and spirits sold by class A licensees and reduce the alcohol excise tax on high proof spirits by \$1.65 per gallon to \$3.75 and on wine by \$0.80 per gallon to \$0.60 and eliminates the \$3.3 million in associated revenues. The revenue reduction consists of a decrease in reduced alcohol excise tax revenues of \$2.2 million and a reduction in the increase of sales and use tax revenues of \$5.5 million.

**6. Sales Tax Expansion.** H 7171, Substitute A does not contain the Governor's proposal to expand services subject to the state sales tax and excludes the updated value of \$3.2 million in associated revenues. The Governor's budget assumed \$14.1 million in revenues from making lobbying services, computer design and related services, fishing, hunting, trapping and shooting services, couriers and messengers, and interior design services all subject to the state sales tax effective either October 1 or January 1, depending on the item. The updated FY 2021 value of the proposal is \$3.2 million assuming an April 1 start for computer design and related services, lobbying and fishing, hunting, trapping and shooting services, and no revenues from the other items until FY 2022.

**7. Hotel Tax 1 Percent Increase.** H 7171, Substitute A does not contain the Governor's proposal to increase the 5.0 percent state hotel tax to 6.0 percent and retain that extra one percent for state general revenues. The Governor's budget included \$4.4 million in revenues assuming the proposal would be effective July 1, 2020. The updated FY 2021 value of the proposal is \$1.4 million assuming a January 1 start and a downgraded forecast.

**8. Tobacco Tax and Fee Changes.** H 7171, Substitute A does not contain the Governor's various proposals to increase taxes and fees related to tobacco product sales. The proposals include increasing cigarette licensing fees and taxes on packs, raising the cigar tax cap, and expanding the cigarette licensing and other tobacco products tax to include nicotine-containing vaping products. The Governor's budget assumed \$5.3 million in revenues assuming the fee increase proposal would be effective July 1, the cigarette and cigar tax would be effective August 1, and the proposals related to vaping products effective September 1, 2020. The updated FY 2021 value of the proposal is \$3.7 million assuming a February 1 start for cigarettes and cigars, a March 1 start for vaping products and the revisions in the November revenue estimate.

**9. Controlling Interest Transfer Tax.** H 7171, Substitute A does not contain the Governor's proposal to exempt certain affordable housing ownership transfers from the real estate conveyance tax under specified circumstances. Excluding this, this restores the proposed general revenue loss of \$36,722.

**10. DEM Expedited Permitting.** H 7171, Substitute A does not contain the Governor’s proposal for the Department of Environmental Management to charge higher fees for complex or multi-jurisdiction projects in order to support new case managers to facilitate the projects. The Governor’s budget included \$0.6 million in revenues with the offsetting cost of personnel assuming the proposal would be effective July 1, 2020. The updated FY 2021 value of the fees is \$0.1 million assuming an April 1 start. The Department’s FY 2021 revised budget request indicates this initiative will not occur in FY 2021.

**11. Hospital License Fee at Current Law.** H 7171, Substitute A maintains the hospital license fee at the current law rate of 5.0 percent of 2018 net revenues for payments totaling \$161.5 million. The Governor’s budget assumed \$32.3 million of additional revenue from increasing the fee to 6.0 percent.

**12. Veterans Home Resident Assessment to Current Law.** H 7171, Substitute A does not contain the Governor’s proposal to increase the Veterans’ Home resident assessments and shift them from state general revenues to restricted receipts. The November revenue estimate assumed \$3.6 million would be collected, which is lower than prior estimates based on a recent revision to assessment practices to come into compliance with current federal regulations.

**13. Medicaid Initiatives.** H 7171, Substitute A does not contain the Governor’s numerous proposals related to Medicaid provider payments that would in-turn impact the premium and gross receipt taxes paid by the affected entities and restores the associated lost revenues. The updated FY 2021 value of the revenue loss is \$0.8 million using the revised November caseload estimate and testimony from the Executive Office of Health and Human Services regarding which items could be implemented this fiscal year.

**14. Requests for Public Health Data.** H 7171, Substitute A does not contain the Governor’s proposal to authorize the director of the Department of Health to charge fees for processing special data requests and offer exemptions from those fees. The proposal called for “approximately half” of the revenues to be appropriated to the Department to manage and sustain data systems to meet requests. The Governor recommended \$0.2 million for operating expenses based on \$0.4 million in revenues. The budget enactment delay lowers projected revenues in FY 2021 to \$0.2 million assuming six months of collections. H 7171, Substitute A excludes the revenues and related expenses.

**15. Fee Exemption Removal for EMS Licensees.** H 7171, Substitute A does not contain the Governor’s proposal or associated revenues from removing the current law exemption from emergency medical license fees for municipal employees and volunteer or non-profit organizations. The fees are established through rules and regulations; the licensing fee for paramedics is \$80 biennially, and \$120 triennially for emergency medical technicians. The Governor’s budget included \$333,600 in revenues assuming the proposal would be effective July 1, 2020. The updated FY 2021 value of the proposal is \$166,800 assuming a January 1 start.

**16. Shellfish Dockside Program.** H 7171, Substitute A does not contain the Governor’s proposal to authorize the director of the Department of Health to impose licensing fees on marine shellfish processors. The fees would be established through rules and regulations. The recommended budget assumes revenues of \$0.4 million and a like amount in expenditures for staffing of 3.0 new positions and operating expenses associated with providing sampling, laboratory testing and monitoring.

**17. Pesticide Registration Fee.** H 7171, Substitute A does not contain the Governor’s proposal to require manufacturers and distributors of alternate brand name pesticide products to pay a \$200 pesticide registration fee and excludes the associated revenues. The Governor’s budget included \$0.3 million in revenues assuming the proposal would be effective July 1, 2020. The updated FY 2021 value of the proposal is \$0.1 million assuming an April 1 start.

- 18. Fire Marshal Fees.** H 7171, Substitute A does not contain the Governor's proposal to raise the fees assessed by the Fire Marshal's Office for plan review and inspection. Originally, this was estimated to generate \$0.6 million in new revenues. Delaying the fee change to January 1, 2021, reduces the estimated impact to \$0.3 million.
- 19. DMV Fee Increases.** H 7171, Substitute A does not contain the Governor's various proposals for new and increased fees at the Division of Motor Vehicles. The Governor's budget assumes \$3.6 million of new revenues from inspection violation enforcement, certified driver abstract subscriptions and late fees for renewals assuming an October 1, 2020 start for late fees and a July 1, 2020 start date for all other initiatives. Assuming a six month delay reduces the estimated impact to \$1.6 million.
- 20. DMV CDL Transfer.** H 7171, Substitute A does not contain the Governor's proposal to transfer the administration of commercial driver's skill tests from the Community College of Rhode Island to the Division of Motor Vehicles effective January 1, 2021 and excludes associated revenues and expenses. The Governor's budget assumes \$94,000 of revenue currently collected as restricted receipts would be converted to general revenues. It does appear that implementation would require at least a few of the six months lead time envisioned in the original proposal.
- 21. DUI/Refused Breathalyzer Fee.** H 7171, Substitute A does not contain the Governor's proposal to impose a new \$250 substance abuse education fee for anyone convicted of driving under the influence, or refusing to take a breathalyzer effective January 1, 2021. This reduces proposed revenues by \$220,016 and there is also a related reduction to proposed expenditures for the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals
- 22. Admin. Fee on Pass-Through Taxes.** H 7171, Substitute A does not contain the Governor's proposal for the Division of Taxation to retain a 2.0 percent fee from multiple local pass-through taxes which it collects, monitors, and distributes proceeds to the state's municipalities. As proposed, this was estimated to generate \$0.8 million in new revenues assuming an effective date of July 1, 2021. The updated FY 2021 value of the proposal is \$0.3 million assuming a January 1 start and revised revenue base.
- 23. Infrastructure Bank Transfer.** H 7171, Substitute A does not contain the Governor's proposal requiring the transfer of \$5.0 million from the Infrastructure Bank to general revenues by June 30, 2021.
- 24. Narragansett Bay Commission Transfer.** H 7171, Substitute A does not contain the Governor's proposal requiring the transfer of \$2.0 million from the Narragansett Bay Commission to general revenues by June 30, 2021.
- 25. Resource Recovery Corporation Transfer.** H 7171, Substitute A does not contain the Governor's proposal requiring that the Resource Recovery Corporation transfer \$5.0 million to general revenues by June 30, 2021.
- 26. Rhode Island Housing Transfer.** H 7171, Substitute A does not contain the Governor's proposal to require Rhode Island Housing to transfer \$1.1 million to state general revenues by June 30, 2021.
- 27. RIHEBC Transfer.** H 7171, Substitute A does not contain the Governor's proposal to require the Rhode Island Health and Educational Building Corporation to transfer \$1.0 million to state general revenues by June 30, 2021.
- 28. RISLA Transfer.** H 7171, Substitute A does not contain the Governor's proposal to require the Rhode Island Student Loan Authority to transfer \$2.0 million to general revenues by June 30, 2021.

**29. Unemployment Support.** The Families First Coronavirus Response Act and Coronavirus Aid, Relief, and Economic Security (CARES) Act signed into law by the President on March 18 and 27, 2020, respectively, contain significant changes to unemployment benefits and workers eligibility for them. The changes shift some of the burden to cover costs from employers to federal funds and expand benefits to workers not previously eligible. Beneficiaries also received a \$600 per week payment in addition to the regular benefit through July. Based on the Department of Labor and Training's FY 2021 revised request, \$907.4 million will be provided from federal funds for unemployment benefits for FY 2021. Additionally, in order to cover the state share of benefit payments, \$255.2 million more will be required from the Employment Security Trust Fund than included in the Governor's recommendation. There is also a decrease of \$0.1 million which reflects restricted receipts erroneously budgeted as benefits. H 7171, Substitute A shows this \$1,162.6 million increase in resources and expenditures.

**30. COVID Relief & Other Non-General Revenues.** The state received funding from a variety of sources, most of it in response to the COVID-19 emergency. Adjustments were also made based on spending to date and available resources including changes to Rhode Island Capital Plan funded projects. H 7171, Substitute A shows this \$1,467.4 million increase in resources and expenditures.

## **Expenditures Changes**

### **Administration**

**31. Capital - 560 Jefferson Boulevard (GBA).** H 7171, Substitute A includes the Governor's requested amendment to shift Rhode Island Capital Plan funds of \$0.1 million for asset protection projects at 560 Jefferson Boulevard from FY 2021 to FY 2022 and FY 2023.

**32. Capital - Accessibility - Facility Renovations (GBA).** H 7171, Substitute A includes the Governor's requested amendment to incorporate the reappropriation of \$57,621 from Rhode Island Capital Plan funds for renovations to state-owned long-term care and community-based facilities, health centers, group homes, fire safety improvements, accessibility renovations to state administrative and higher education institutions, and other renovations to buildings throughout the state where open meetings are held, such as senior citizen centers.

**33. Capital - Arrigan Center (GBA).** The capital budget includes a total of \$2.4 million from all sources, including \$1.2 million from Rhode Island Capital Plan funds to match Workers' Compensation Administrative Fund receipts to renovate the Arrigan Center. The Center offers comprehensive physical and occupational therapy to assist injured workers. H 7171, Substitute A includes the Governor's requested amendment shifting \$50,000 from FY 2021 to FY 2022.

**34. Capital - BHDDH DD & Community Homes - Fire Code (GBA).** The FY 2020 final budget included \$2.7 million from Rhode Island Capital Plan funds for fire code upgrades at 11 state group homes and private developmental disabilities residences. It appears that \$1.4 million was spent, reflecting a project delay and an extension was granted by the Fire Safety Board of Appeal and Review. H 7171, Substitute A includes the Governor's requested amendment to incorporate the reappropriation of \$1.3 million to complete the project.

**35. Capital - Big River Management Area (GBA).** The Governor's recommended budget includes \$0.2 million from Rhode Island Capital Plan funds for ongoing infrastructure repairs and improvements at the Big River Management Area. This project includes funding for security, litter/dumping enforcement and demolition of vacant homes. The Governor requested an amendment shifting expenditures of \$0.1 million from FY 2021 to FY 2022 and FY 2023 and excludes the reappropriation of \$865. H 7171, Substitute A includes the adjustment.

**36. Capital - Cannon Building (GBA).** The Governor requested an amendment to delay Rhode Island Capital Plan fund expenditures of \$1.0 million from FY 2021 to FY 2023 for the Cannon Building project, which involves renovations to restrooms and the auditorium, and upgrade heating, ventilation and air conditioning and electrical systems. The amendment also excludes the reappropriation of \$62,983 of unused funds from H 7171, Substitute A includes the adjustment.

**37. Capital - Cranston Street Armory (GBA).** The capital budget includes \$250,000 from Rhode Island Capital Plan funds for use in FY 2021 for repairs to the Cranston Street Armory. H 7171, Substitute A includes the Governor's requested amendment to delay these expenditures to FY 2022 and to include the reappropriation of \$37,396 from FY 2020 unspent funds.

**38. Capital - Dunkin Donuts Center (GBA).** H 7171, Substitute A reflects the Governor's requested amendment to reduce FY 2021 Rhode Island Capital Plan fund expenditures of \$1.5 million for renewal and replacement expenses for the Dunkin' Donuts Center. The amendment also excludes the reappropriation of \$19,069 from FY 2020 unspent funds and shifts \$0.4 million from FY 2022 to FY 2023. The Center was purchased by the Convention Center Authority with revenue bonds and leased back to the state. Rental payments from the Center lease are applied to the debt service payments on the revenue bonds. Bond covenants from the 2006 purchase and renovation financing require that funds be set aside each year to maintain the facility.

**39. Capital - Energy Efficiency (GBA).** The Governor's FY 2021 recommended budget includes \$0.5 million from Rhode Island Capital Plan funds for energy efficiency projects, including indoor and outdoor lighting projects, new heating, ventilation and air conditioning, renewable energy and building automation systems. H 7171, Substitute A includes the Governor's requested amendment to add the reappropriation of \$0.2 million from FY 2020 unspent funds and delay the recommended amount of \$0.5 million to FY 2022 and FY 2023.

**40. Capital - Environmental Compliance (GBA).** The Department overspent its FY 2020 final appropriation from Rhode Island Capital Plan funds for the Environmental Compliance project by \$17,720. This project assists state agencies in maintaining compliance with all state and federal environmental rules and regulations. H 7171, Substitute A reflects the Governor's requested amendment to reduce current year expenses to adjust for FY 2020 overspending and to increase funding by \$0.1 million for FY 2022 and FY 2023 based on projected costs.

**41. Capital - Hospital Consolidation (GBA).** The Governor's capital budget includes \$61.9 million from all sources, including authorization for additional borrowing of \$12.0 million through new Certificates of Participation to reorganize hospital operations for the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals. The Governor requested an amendment withdrawing her proposal and removing \$17.0 million from Rhode Island Capital Plan funds, including \$12.0 million in FY 2021 and FY 2022. H 7171, Substitute A reflects the removal of funding and does not authorize any new borrowing.

**42. Capital - IT Enterprise Operations Center (GBA).** The capital budget includes \$0.8 million from Rhode Island Capital Plan funds in FY 2021 to make improvements to the heating, ventilation and air conditioning system at the Division of Information Technology operations center in Warwick. The Governor requested an amendment to include \$0.2 million from FY 2020 unspent funds and shift \$0.3 million of expenditures from FY 2021 to FY 2022. H 7171, Substitute A includes the adjustments.

**43. Capital - Medical Examiner - New Facility (GBA).** Previous capital plans assumed use of the Board of Elections' former facility on Branch Avenue as the new facility for the Office of State Medical Examiners; however, the Governor's Efficiency Commission recommended selling the building rather than covert it. The Department of Health obtained approval from the Centers for Disease Control and Prevention

to use \$2.5 million from a federal grant to purchase a building in Cumberland. The Governor requested an amendment to provide a total of \$5.0 million from Rhode Island Capital Plan funds, including \$0.5 million in FY 2021 for architectural and engineering services and \$4.5 million in FY 2022 to renovate the facility. H 7171, Substitute A includes the funding.

**44. Capital - Old State House (GBA).** The Department overspent its FY 2020 final appropriation from Rhode Island Capital Plan funds for renovations at the Old State House by \$0.2 million. The FY 2021 recommended budget includes \$1.7 million and the Governor requested an amendment to reduce that amount to account for the overspending. H 7171, Substitute A includes the adjustment.

**45. Capital - Pastore Center Medical Buildings Asset Protection (GBA).** The FY 2021 through FY 2025 plan includes \$6.0 million from Rhode Island Capital Plan funds for asset protection projects for medical buildings at the Pastore Center. H 7171, Substitute A includes the Governor's requested amendment to reduce the project cost by \$3.0 million, including \$2.0 million from FY 2021. The amendment also excludes the reappropriation of \$46,735 from FY 2020 unspent funds.

**46. Capital - Pastore Center Non-Medical Buildings Asset Protection.** The capital budget assumes use of \$22.3 million from Rhode Island Capital Plan funds in the five-year period to fund major maintenance and capital repairs for multiple buildings at the Pastore Center. Work will focus on the following buildings: Benjamin Rush, Hazard, Louis Pasteur, Forand and Center General. H 7171, Substitute A includes the Governor's requested amendment to add the \$1.3 million unspent in FY 2020 and shift \$2.0 million from FY 2021 and \$1.0 million from FY 2022 to FY 2023 through FY 2025.

**47. Capital - Pastore Center Power Plant (GBA).** H 7171, Substitute A reflects the Governor's requested amendment to incorporate \$0.2 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to complete the first phase of the rehabilitation of the Central Power Plant at the Pastore Center.

**48. Capital - Pastore Center Utilities Upgrade (GBA).** H 7171, Substitute A reflects the Governor's requested amendment to incorporate the reappropriation of \$0.1 million from FY 2020 unspent Rhode Island Capital Plan funds to repair and make improvements to the electrical distribution system at the Pastore Center.

**49. Capital - Replacement of Fueling Tanks (GBA).** The Governor's recommended budget includes a total of \$2.2 million from Rhode Island Capital Plan funds in the five-year plan to replace failing tanks at state-owned and operated fueling stations for state vehicles. H 7171, Substitute A reflects the amendment the Governor requested shifting \$0.2 million from FY 2021 to FY 2022, to reflect a delay in replacing a tank at the University of Rhode Island and providing an additional \$0.1 million for FY 2022 and \$0.3 million in FY 2023 for replacing tank piping at the Veterans' Cemetery and Lincoln Fuel Depot.

**50. Capital - Rhode Island Convention Center (GBA).** The Governor's capital budget includes \$16.0 million from Rhode Island Capital Plan funds in the five-year plan for improvements to the Convention Center Facility. Preventive maintenance is required in order to maintain the venue to attract and keep customers; however, the facility is currently being used as an alternative hospital site. H 7171, Substitute A reflects the Governor's requested amendment to exclude the reappropriation of \$0.4 million from FY 2020 unspent funds and shift a total of \$2.5 million from FY 2021 and FY 2022 to FY 2023 through FY 2025.

**51. Capital - Security Measures/State Buildings (GBA).** The recommended budget includes a total of \$3.8 million from Rhode Island Capital Plan funds with annual use of \$750,000 to address security issues throughout state facilities. This is \$250,000 annually more than the approved plan, reflecting the administration's plan for a greater investment. The Governor requested an amendment to provide \$588,719

for FY 2021, which decreases budgeted funding by \$250,000 and adds \$88,719 from FY 2020 unspent funds. H 7171, Substitute A reflects the requested adjustment and also returns future annual funding to \$500,000.

**52. Capital - Shepard Building (GBA).** The capital budget assumes expenditures of \$250,000 from Rhode Island Capital Plan funds for FY 2021 for the Shepard Building. No funding was included beyond FY 2021 based on plans to sell the building that originated from the Efficiency Commission. The Governor requested an amendment to provide an additional \$3.3 million, including \$250,000 for FY 2021 and \$1.5 million each in FY 2022 and FY 2023 for elevator repairs and securing the roof overhangs. The amendment also excludes FY 2020 unspent funds of \$10,982. H 7171, Substitute A reflects the adjustments.

**53. Capital - State House Renovations (GBA).** H 7171, Substitute A reflects the Governor's requested amendment to include the reappropriation of \$1.1 million from FY 2020 unspent funds for elevator renovations, leak remediation and other projects at the State House and to delay \$0.5 million from FY 2021 to FY 2023.

**54. Capital - State Office Building (GBA).** The approved capital plan does not include any funding beyond FY 2020 as the administration is in the process of conducting a feasibility study to determine if the State Office Building is suitable for the state archives facility; funding for the study is included in the Statewide Facility Master Plan project. The Governor's recommended budget adds \$750,000 from FY 2021 through FY 2025 for asset protection. She requested an amendment to reduce current year expenses by \$250,000. This essentially provides \$0.1 million annually. H 7171, Substitute A reflects these adjustments.

**55. Capital - State Office Reorganization & Relocation (GBA).** This project consists of several components: moving the Division of Statewide Planning from the Powers Building to the Foundry Building, creating approximately 100 workstations in Benjamin Rush, Barry, and Simpson Halls and moving staff to the Powers Building. The Governor requested an amendment to incorporate the reappropriation of \$1.2 million from FY 2020 unspent funds. It also reduces current year expenses by \$1.0 million but adds \$250,000 each in FY 2022 and FY 2023, which essentially includes \$0.5 million less for the project. H 7171, Substitute A reflects these adjustments.

**56. Capital - Statewide Facility Master Plan (GBA).** The Governor requested an amendment to include the reappropriation of \$0.2 million from Rhode Island Capital Plan funds to conduct a feasibility study of moving the state archives facility into the State Office Building as part of the Statewide Facility Master Plan project. The amendment also delays \$0.3 million of expenses from the current year to FY 2023. H 7171, Substitute A reflects these adjustments.

**57. Capital - Veterans Memorial Auditorium (GBA).** The capital budget includes \$1.2 million from Rhode Island Capital Plan funds in the five-year period for ongoing asset protection costs of the Veterans Memorial Auditorium. Programmed expenditures include replacing a handicap lift, installing walls to reduce noise levels, and installing lighting fixtures. H 7171, Substitute A reflects the Governor's requested amendment to shift expenses of \$0.1 million from FY 2021 to FY 2022 to replace seats in the auditorium.

**58. Capital - Washington County Government Center (GBA).** The capital budget includes \$2.8 million from Rhode Island Capital Plan funds to be used in the five-year plan for asset protection projects at the Washington County Government Center, including bathroom renovations and installation of a generator. The Governor requested an amendment reducing recommended expenses by \$0.1 million and including \$0.2 million from FY 2020 unspent funds. H 7171, Substitute A reflects these adjustments.

**59. Capital - William Powers Building (GBA).** The capital budget includes \$12.5 million from Rhode Island Capital Plan funds to be used from FY 2021 through FY 2025 for repairs and renovations at the



William Powers Building. The Governor requested an amendment to decrease FY 2021 funding by \$0.2 million. This includes the reappropriation of \$0.5 million from FY 2020 unspent funds and delaying \$750,000 from FY 2021 to FY 2022. It also decreases FY 2024 programmed expenditures by \$0.5 million. H 7171, Substitute A reflects these adjustments.

**60. Capital - Zambarano Utilities and Infrastructure (GBA).** The Governor recommended a total of \$4.5 million from Rhode Island Capital Plan in the five-year period for capital repairs to various buildings and equipment at the Zambarano Campus of the Eleanor Slater Hospital in Burrillville. She requested an amendment to reduce expenses in the current year by \$1.1 million and provide \$1.5 million and \$0.5 million less for FY 2023 and FY 2025, respectively, reflecting changes in the long term plans for spending on the facilities. H 7171, Substitute A reflects these adjustments.

**61. Central Management (1.0 FTE).** The recommended budget adds \$0.3 million from general revenues, including \$0.1 million for a new senior management and methods analyst position that the Department indicates will conduct policy and data research, legislative tracking, and oversight of internal communications. It also includes \$0.2 million for a position transferred from the Department of Environmental Management to the Department of Health; however, the cost as well as the staffing authorization is included in the Department of Administration. H 7171, Substitute A excludes the new position and adjusts expenditures for both positions accordingly. It assumes that these expenses will be covered by turnover savings elsewhere in the Department.

**62. COVID Related Personnel Costs.** H 7171, Substitute A shifts a total of \$1.1 million of personnel costs from general revenues to federal funds based on the Department's staff time responding directly to the COVID-19 emergency, consistent with updated federal guidance.

**63. COVID Relief - Quarantine and Isolation.** Based on anticipated expenditures, H 7171, Substitute A adds \$12.9 million, including \$2.6 million from general revenues for quarantine and isolation related expenses, which includes temporary housing for those that cannot isolate in their homes and for individuals and facilities experiencing homelessness. The total amount assumed for these expenses in FY 2021 is \$21.8 million; however, \$6.1 million is included in the Department of Human Services and \$2.0 million is in the Department of Children, Youth and Families.

**64. COVID Relief - Legal.** Based on anticipated expenditures identified in ongoing reporting from the Office of Management and Budget, H 7171, Substitute A adds \$1.4 million, including \$300,000 from general revenues for legal expenses related to the state's COVID response. This includes \$1.0 million for outside legal services and \$0.6 million for a case management software system to track the status of legal cases statewide. The Department has selected a vendor and expects the project to be completed this fiscal year.

**65. COVID Relief - Surge Hospital Operations & Deconstruction.** The state anticipates spending \$53.1 million on alternate hospital site, deconstruction, lease and other expenses during FY 2021. This assumes use of \$33.3 million through the end of December. H 7171, Substitute A includes \$53.1 million, of which \$11.4 million is from general revenues for expenses that will occur in the second half of the fiscal year when federal coronavirus relief funds are not available, though partial reimbursement through Stafford Act funds is expected. Related spending in FY 2020 was \$26.1 million.

**66. COVID Relief - Government Readiness.** The administration has identified projected FY 2021 expenses totaling \$35.3 million associated with government readiness related to COVID-19 response services, screening and reconfiguration of lobby and cubicle areas in the state. H 7171, Substitute A adds \$26.1 million including \$1.9 million from general revenues in the Department of Administration. The general revenues are for expenses that will occur in the second half of the fiscal year when federal coronavirus relief funds are not available. Additional expenses of \$2.3 million are also included in the

Department of Environmental Management for costs related to state beaches and parks and \$6.5 million for the Rhode Island National Guard. Related spending in FY 2020 was \$5.4 million.

**67. COVID Relief - Hospital Assistance Partnership Program.** The federal CARES Act adopted in response to the crisis provided \$1,250.0 million to Rhode Island for related expenditures incurred between March 1, 2020 and December 30, 2020, including responding directly to the emergency as well as second order effects. The administration announced a Hospital Assistance Partnership Program, which provides financial assistance to hospitals with the intent of maintaining a responsive hospital system. A total of \$95.5 million was provided in FY 2020 as part of phase one. The administration is taking applications for a second round of funding for FY 2021 and estimates the need for use of up to \$125.0 million of federal funds. H 7171, Substitute A includes funding consistent with this estimate.

**68. DCAMM - New Project Managers (2.0 FTE).** The recommended budget includes \$0.3 million from general revenues to fund 2.0 new positions in the Division of Capital Asset Management and Maintenance, consisting of an interdepartmental project manager and a real estate portfolio manager. The Department indicates that the positions would be in charge of space allocation and real estate planning. H 7171, Substitute A excludes the new positions and adjusts expenditures and staffing accordingly.

**69. Debt Service Updated.** Based on projected expenditures, H 7171, Substitute A includes general revenue debt savings of \$3.4 million. This adds \$0.8 million for Convention Center, based on lower revenues and \$1.0 million for the Garrahy Garage. This is offset by savings of \$2.8 million for payments under the Fidelity Job Rent Credits agreement based on the availability of reserve funds, \$0.9 million from I-195 Development reflective of available land receipts, \$0.3 million savings from general obligation bonds, \$20,240 savings from the final 38 Studios payment from additional available interest earnings. There is also \$0.1 million in savings related to Garrahy Garage but that is directly offset by parking expenses budgeted in several state agencies that will use the garage.

**70. Enterprise Resource Planning - New System (2.0 FTE).** H 7171, Substitute A does not contain the Governor's proposal to issue \$54.8 million of new debt to develop and implement an Enterprise Resource Planning System for human resources, information systems, payroll, financial management and a grants management system. The Governor's recommended budget includes \$1.5 million for operating expenses to support 2.0 full-time equivalent information technology manager positions and contracted services; H 7171, Substitute A excludes those expenses accordingly.

**71. Healthsource RI Available Receipts.** The Governor's recommended budget repurposed one-time general revenue funding of \$0.4 million provided for implementation of the Market Stability and Reinsurance initiative for other expenses within HealthSource RI's budget. Based on available restricted receipts from health reform assessment funds, H 7171, Substitute A shifts \$970,000 of general revenue expenditures, including the \$0.4 million, to these receipts and includes \$65,000 for expenditures above that, consistent with the Office's current spending projections.

**72. Information Technology Fund (GBA).** Subsequent to her budget submission, the Governor requested an amendment to reduce expenditures in the Information Technology Investment Fund by \$1.0 million, to align expenses to available resources. H 7171, Substitute A includes this adjustment.

**73. Rainy Day Fund Payback.** The FY 2020 final budget transferred \$120.0 million from the Budget Stabilization and Cash Reserve Account for use in balancing that budget. Current law requires that the repayment be made the following fiscal year to the Rhode Island Capital Plan Fund. H 7171, Substitute A includes a \$90.0 million repayment and legislation to delay the full payback until FY 2022.

**74. Reappropriation - Complete Count.** H 7171, Substitute A includes the required reappropriation of \$190,606 from general revenues provided in FY 2020 to support the Complete Count Committee, which

was created by Executive Order 18-09. The adjustment to the Governor's budget is only \$140,606, as her recommendation budget already includes \$50,000 in anticipation of spending occurring over two years but inadvertently double counting funds already budgeted in FY 2020.

**75. Tort Court Awards.** The Department receives an annual appropriation to pay for liability claims against any state department or state employee. The 2019 Assembly provided an additional \$0.5 million from general revenues to ensure funds would be available pending passage of legislation for future compensation of individuals, wrongfully convicted of crimes and incarcerated bringing the total allocation to \$0.9 million. The legislation did not become law but the Governor's FY 2021 budget maintained the enhanced funding. H 7171, Substitute A reduces recommended funding by the excess \$0.5 million.

**76. Transportation Debt Service (GBA).** The recommended budget includes \$38.3 million from gasoline tax proceeds for the Department of Transportation's portion of general obligation bond debt service. Subsequently, the Governor requested an amendment to lower the costs by \$2.0 million based on a refinancing that occurred in December 2019. H 7171, Substitute A includes the lower amount.

**77. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$0.3 million from all sources, including \$0.2 million from general revenues based on 71 individuals from the Department opting to participate in the workshare program.

### **Business Regulation**

**78. Actuarial Services to Enacted.** The Governor's recommendation of \$0.1 million more than enacted from restricted receipts for actuarial services reflects the maximum amount possible under each contract for the fiscal year. Historically, actual expenditures are less. H 7171, Substitute A includes the enacted amount.

**79. Adult Use Marijuana Implementation (13.0 FTE).** H 7171, Substitute A does not include the Governor's proposal for a new adult use marijuana program. Accordingly, it excludes the \$3.2 million from restricted receipts for implementation of which \$1.4 million is for salaries and benefits for 13.0 new full-time equivalent positions.

**80. Capital - Fire Academy Asset Protection (GBA).** The Governor's recommendation provides \$0.1 million from Rhode Island Capital Plan funds annually beginning in FY 2021 for service contracts, planned annual maintenance, as well as reoccurring and unexpected repairs of structures and equipment of the Fire Academy. H 7171, Substitute A excludes the funding consistent with the Governor's subsequent amendment request to delay the initial funding by one year.

**81. Capital - Evidence Repository Study (GBA).** H 7171, Substitute A does not include the Governor's recommendation to add \$0.1 million from Rhode Island Capital Plan funds for a feasibility study for the redevelopment of the former Ladd School laundry facility, located adjacent to an office of the State Fire Marshal facility, into an evidence repository.

**82. COVID Enforcement/Inspection.** H 7171, Substitute A includes \$2.3 million, including \$1.7 million from general revenues and \$0.6 million from federal funds, to support contractors hired to conduct inspections of businesses throughout the state to ensure compliance with COVID-19 mandates. The general revenues are intended to support expenses after December 30, 2020.

**83. COVID Related Personnel Costs.** H 7171, Substitute A shifts \$2.1 million from general revenues to federal funds based on staff time responding directly to the COVID-19 emergency, consistent with updated federal guidance.

**84. Director of Consumer Protection/Education Programs (GBA).** The Governor’s budget includes \$0.1 million from restricted receipts and a new full-time equivalent position for FY 2021 related to insurance market conduct examinations. Subsequently the Governor requested an amendment to remove the recommendation as sufficient funding is not available. H 7171, Substitute A includes the adjustment.

**85. Fire Marshal Current Service Adjustments.** H 7171, Substitute A reduces recommended expenses for the Fire Marshal’s Office by \$0.5 million, including \$0.3 million from general revenues to more accurately reflect the current service level. This includes staffing and facilities expenses, as well as adjusting federal grant spending to exclude expenditures that remain with the Emergency Management Agency.

**86. RI REACH Medicaid Match.** H 7171, Substitute A shifts \$20,000 from general revenues to matching federal Medicaid funds for the Rhode Island Insurance Resource, Education, and Assistance Helpline known as RI REACH. The shift is consistent with the Office of the Health Insurance Commissioner’s revised request and historical federal reimbursement levels. The helpline is supported from general revenues with a partial federal Medicaid match.

**87. Other Operations Revised Request/Q1.** H 7171, Substitute A reduces general revenue operating expenditures by \$0.2 million. This reflects savings available from the Department’s revised request including unneeded funding for building code books and operational savings identified from FY 2020.

**88. Other Staffing to Enacted.** H 7171, Substitute A excludes \$0.1 million of expenditures for staffing, including \$0.2 million from general revenues, to maintain the authorized level of 161.0 full-time equivalent positions. It excludes the proposed staffing shift that would have effectively reversed two of the Governor’s prior budget initiatives to save state funds in the State Building Office, restores turnover assumed in the enacted budget, and eliminates new staffing expenditures.

**89. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$83,949, including \$79,808 from general revenues based on 16 individuals from the Department opting to participate in the workshare program.

#### **Executive Office of Commerce**

**90. Capital - I-195 Park Improvements (GBA).** The Governor recommended \$4.0 million from Rhode Island Capital Plan funds, including 1.0 million in FY 2021, for the parks infrastructure on the former I-195 land. The total of \$4.0 million for infrastructure for the Commission’s parks includes a food and beverage pavilion, restrooms, and enhanced utilities including Wi-Fi accessibility. In July, the Governor requested an amendment to shift this expense to a general obligation bond question to be put before the voters. H 7171, Substitute A includes the project as part of question two in the proposed referenda.

**91. Capital - Quonset Industrial Park Renovation.** H 7171, Substitute A delays the \$6.0 million of approved Rhode Island Capital Plan funds for completion of the Quonset Point infrastructure project from FY 2021 to FY 2022. The Governor had requested an amendment to shift the funds to a general obligation bond question to be placed before the voters.

**92. Capital - Quonset Piers (GBA).** H 7171, Substitute A reduces the Rhode Island Capital Plan support for the Quonset Point Pier 2 project by \$5.0 million to reflect updated cost projections. The Quonset Development Corporation testified that the updated estimated expense for the project is \$84.5 million; it is still anticipated to be completed in FY 2022. The \$90.0 million project was intended to be supported by \$65.0 million from state sources including a \$50.0 million bond approved by the voters in 2016, and \$25.0 million from the Corporation. The Corporation provided \$2.1 million of its support prior to FY 2021; this reduction allows the cost savings to accrue to the state. The Governor had requested an amendment to shift

the state's final \$5.0 million contribution from Rhode Island Capital Plan funds to a new general obligation bond question to be put before the voters, effectively reducing the Corporation's support for the project.

**93. Commerce Corporation Operations to Enacted.** H 7171, Substitute A does not include the Governor's proposal for an additional \$54,000 from general revenues for the Commerce Corporation base appropriation. The Corporation is a quasi-public agency which receives funding from several federal and state sources, some of which are used to supplement the Corporation's base appropriation. The Corporation also receives a share of the 5.0 percent Hotel Tax.

**94. COVID Related Personnel Costs.** H 7171, Substitute A shifts \$0.4 million from general revenues to federal funds based on staff time responding directly to the COVID-19 emergency, consistent with updated federal guidance.

**95. COVID Relief - Business Interruption Supports.** H 7171, Substitute A includes \$55.8 million from federal Coronavirus Relief funds resources to support a variety of businesses experiencing disruptions related to the pandemic or the pause period announced in November 2020. This includes \$47.0 million for a grant program administered by the Division of Taxation. To be eligible, businesses must be a locally-owned Rhode Island tax filer, been impacted by the pause period, and not have declared bankruptcy. Applicants are eligible for total reimbursement of losses from \$500 to \$50,000.

**96. COVID Relief - Eviction Diversion.** H 7171, Substitute A includes \$7.3 million from federal Coronavirus Relief funds to reflect anticipated support for eviction diversion program during the coronavirus pandemic. The program includes funding for staffing and legal contracting in support of an extrajudicial mediation process to resolve nonpayment disputes between property owners and renters. As of December 4, 2020, \$7.2 million has been disbursed.

**97. COVID Relief - Hospitality, Arts, and Tourism Supports.** H 7171, Substitute A includes \$20.0 million from federal Coronavirus Relief funds to reflect anticipated support to prevent closure of arts, culture, and hospitality and tourism businesses during the coronavirus pandemic. The program assumes about half of its grants will support activities to allow safe gatherings of artistic and tourism-related activities, and half will provide direct support to organizations. Presumably, funding also supports program implementation.

**98. COVID Relief - Landlord Incentives.** H 7171, Substitute A includes \$0.5 million, including \$0.1 million from general revenues and \$0.4 million from federal Coronavirus Relief Fund resources to reflect anticipated support for incentives to landlords during the coronavirus pandemic; the general revenues are intended to support program operations after December 30, 2020. The program provides payments to property owners to make residential units available to individuals experiencing homelessness. For permanent housing established through the program, participants are anticipated to pay 30 percent of their income, with housing vouchers paying the remaining amount directly to the property owner. The program provides payments of \$2,000 for the first unit committed and \$500 for each unit committed thereafter, first and last month's rent, and up to \$2,000 for renovation and repair upgrades.

**99. COVID Relief - Navigation Assistance and Legal Services.** H 7171, Substitute A includes \$0.2 million from federal Coronavirus Relief Fund resources to reflect anticipated grant support to nonprofits to help individuals navigate housing assistance applications and complete the application process successfully. This is in addition to legal services funded through the Eviction Diversion program.

**100. COVID Relief - Rental Assistance.** H 7171 Substitute A includes \$5.4 million, including \$0.3 million from general revenues and \$5.1 million from federal funds, to reflect anticipated support for rental assistance during the coronavirus pandemic; the general revenues are intended to support program operations after December 30, 2020. The Office of Housing and Community Development announced

Housing Help RI, a new emergency rental assistance program providing emergency housing assistance to very low-income renters at immediate risk of homelessness funded with \$1.5 million from federal homelessness assistance. Subsequently, the commitments were increased by \$6.4 million from federal Coronavirus Relief funds to the program including an expansion of eligible renters. As of December 4, 2020, \$3.5 million has been spent from federal funds. The FY 2020 final budget anticipated \$2.5 million for FY 2020 expenses though none were incurred.

**101. COVID Relief - Restore RI Business & Non-Profit Grants.** H 7171, Substitute A includes \$65.5 million from federal Coronavirus Relief Fund resources to reflect anticipated support for small businesses and non-profits during the coronavirus pandemic. The program provides financial support up to \$30,000 to restaurants and caterers, small businesses up to 50 employees, nonprofits, sole proprietors, child care businesses and businesses without employees or contractors. To be eligible, businesses must demonstrate a 30.0 percent revenue loss during at least one month due to COVID-19. Funding also supports program implementation. As of December 4, 2020, \$55.5 million has been spent from federal funds.

**102. Deputy Secretary (1.0 FTE).** H 7171, Substitute A does not include the Governor's proposal for \$0.2 million from general revenues to add a Deputy Secretary position proposed in conjunction with the restructuring of housing policy governance.

**103. Housing Governance Fund.** H 7171, Substitute A does not include the Governor's proposal to double the Real Estate Conveyance Tax for values over \$0.5 million to support \$3.5 million of expenditures for new programs established in conjunction with her proposed housing policy governance restructuring. While that restructuring is also not included, H 7171, Substitute A does include a \$65.0 million bond referendum to support affordable housing.

**104. I-195 Redevelopment Commission to Enacted.** H 7171, Substitute A includes the enacted level of \$761,000 from general revenues for the I-195 Redevelopment Commission. This excludes the \$130,000 increase the Governor recommended.

**105. I-195 Redevelopment Fund.** H 7171, Substitute A does not include the Governor's proposal to add \$1.0 million for the I-195 Redevelopment Fund. The Fund was capitalized with \$28.0 million from general revenue appropriations. The 2015 Assembly created the Fund to provide developers and businesses with financing for capital investment, including land acquisition in order to promote the development of the land. The Commission has \$25.0 million of awards through FY 2020, leaving approximately \$3.0 million available for future awards.

**106. Industry Cluster Grants.** H 7171, Substitute A does not include the Governor's recommendation to provide an additional \$250,000 for the Industry Cluster Grant program. The FY 2016, FY 2017, and FY 2019 enacted budgets provided \$1.4 million in support for the program to develop geographic concentrations of industries to create a collective competitive advantage through concentrated knowledge and workforce capabilities with shared common supply chains, markets, and/or technological competencies. The Corporation has awarded \$1.2 million to 10 awardees, and has not made any awards since FY 2019. The fund is estimated to have reserves available for future awards.

**107. Minority Entrepreneurship/Urban Ventures to Enacted.** H 7171, Substitute A includes the enacted level of \$140,000 from general revenues for Urban Ventures to support an urban incubator consistent with current law. It excludes the \$150,000 the Governor proposed to support a new minority entrepreneurship initiative in lieu of providing funding to Urban Ventures.

**108. Polaris Succession Initiative.** H 7171, Substitute A does not include the \$50,000 from general revenues the Governor proposed for a new initiative suggested in the long-term economic vision to

subsidize small business manufacturers intending to sell their businesses to a new owner. The long-term economic vision assumes the total cost for this program would be \$0.5 million to \$1.0 million.

**109. P-Tech Initiative.** H 7171, Substitute A does not include the Governor's FY 2021 recommendation for \$0.2 million from general revenues to allow for new program commitments for the High School, College, and Employer Partnerships program commonly referred to as P-Tech. The program supports partnerships among high schools, higher education, and employers to offer courses towards high school diplomas, internships, and associate degrees. Previous appropriations exceed current program commitments, which have not expanded.

**110. Site Readiness Fellowship.** H 7171, Substitute A does not include the Governor's proposal to add \$390,000 from general revenues for a new initiative proposed in the long-term economic vision to contract with planning professionals to support municipalities which would be expected to share a portion of the cost.

**111. Small Business Assistance New Funding.** H 7171, Substitute A does not include the Governor's recommendation to add \$750,000 from general revenues to further capitalize the Small Business Assistance Program. Prior appropriations have yielded \$16.4 million in loans from \$3.7 million of funds provided by Commerce. An estimated \$1.9 million of funding remains available; most of those loans are in repayment and three have been repaid in full which provides additional resources. The program serves businesses with less than 200 employees that are having difficulties obtaining financing from traditional lending organizations.

**112. Tangible Tax Study.** H 7171, Substitute A does not include the Governor's proposal for a tangible tax local aid program and therefore excludes the proposed \$85,000 from general revenues for the study linked to its implementation.

**113. Wavemaker Fellowship Expansion.** H 7171, Substitute A does not include the Governor's proposal to include an additional \$0.9 million for the expansion of the Stay Invested in RI Wavemaker Fellowship program. It maintains the enacted level of \$1.2 million for the current program. The Assembly appropriated \$7.4 million from FY 2016 through FY 2020. The program has awarded four cohorts totaling 893 fellows for awards totaling \$6.8 million.

**114. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$7,373 based on one individual opting to participate in the workshare program.

### **Labor and Training**

**115. Administrative Redesign.** The Governor's recommendation includes \$0.8 million from all sources for various contracted services for the Department of Labor and Training including \$0.3 million from new general revenues. As part of the Department's FY 2021 revised request and first quarter report, the general revenue funding is excluded. It appears work has continued but funded by other sources. H 7171, Substitute A excludes the new general revenues.

**116. Centralized Services - Q1.** The recommended budget includes \$6.2 million from all sources or \$0.7 million more than enacted, including \$0.2 million more from general revenues, for the Department's share of centralized facilities management, human resources support and information technology services. Based on the Department's FY 2021 revised request and the first quarter report, H 7171, Substitute A provides \$340,000 less from all sources, including \$278,942 less from general revenues.

**117. COVID Relief - Back to Work RI.** Back to Work Rhode Island is a new initiative created in response to the COVID-19 pandemic which connects Rhode Islanders with job opportunities across the

state providing resources and training. The program partners directly with businesses including Amgen, Bank of America, Care New England, CVS Health, General Dynamics Electric Boat, IGT, Infosys, Laborers' International Union of North America, Lifespan, Microsoft, Raytheon Technologies, Salesforce, Service Employees International Union and Twitter. The Governor announced the \$45.0 million program in July, and the Administration reports that the full amount is expected to be spent prior to December 30. H 7171, Substitute A includes the \$45.0 million from federal funds.

**118. COVID Relief - \$400 Supplemental Unemployment Payment.** On November 25, the Governor announced a series of initiatives to assist Rhode Islanders during the two week pause she announced a week earlier. Included in this is a one-time supplemental unemployment payment of \$400 to those out of work during this period as a result of the pandemic. Based on estimates from the Department of Labor and Training, this will cost \$40.0 million from federal coronavirus relief funds. H 7171, Substitute A adds this funding.

**119. Job Development Fund Assessment to Non-Profits.** H 7171, Substitute A does not contain the Governor's proposal to expand the job development fund assessment to nonprofit entities with 1,000 or more employees and removes the \$1.4 million of restricted receipt expenditures for job training programs that would have been supported by the new assessment.

**120. Opportunities Industrialization Center - Closed.** The Governor's budget includes the enacted amount of \$100,000 from general revenues to support the Opportunities Industrialization Center, a nonprofit organization which provides training, employment, minority business enterprise, and urban policy development services to underserved urban populations through collaboration with public and private sectors as well as community based organizations. The Center has ceased operations and H 7171, Substitute A excludes the funding.

**121. Police and Fire Relief Fund - Q1.** H 7171, Substitute A reduces recommended funding for the Police and Fire Relief funds by \$24,080 from general revenues to reflect expected spending as reported in the Department's FY 2021 revised request and first quarter report.

**122. Real Jobs General Revenues to Enacted.** The Governor recommends \$15.3 million from all sources, including \$12.7 million from general revenues for the Real Jobs Rhode Island program in FY 2021. By source this is \$7.3 million more from general revenues and \$2.6 million more from federal funds. Additional funding is also provided through the Governor's Workforce Board and not included in this total. H 7171, Substitute A provides general revenue funding at the FY 2020 enacted level. It also adjusts federal funding by \$2.9 million based on additional resources available to support the program.

**123. Inmate Post-Release Employment Services (1.0 FTE).** The Governor recommends \$0.1 million from general revenues and authorization for 1.0 new position to assist the Department of Corrections with inmate post-release employment services. The position would help contract vendors and connect incarcerated individuals with post-release employment opportunities; this is in conjunction with \$0.3 million recommended in the Department of Corrections. H 7171, Substitute A excludes this new initiative. The Department's FY 2021 revised request also excludes the funding.

**124. Unemployment Administration and Operations (35.0 FTE).** H 7171, Substitute A adds \$12.6 million from all sources for unemployment administration and operations based on information provided by the Department in its FY 2021 revised request. It also includes the Governor's requested amendment to increase the staffing authorizing by 35.0 full-time equivalent positions to assist with unemployment claims. Since the Governor's original budget submission, significant funding has been provided to state unemployment administrations through the various federal relief efforts. The majority of the increase reflects staffing needs. By source, the increase includes \$15,121 less from general revenues, \$12.5 million more from federal funds, and \$0.1 million more from restricted receipts.



**125. Governor's Workforce Board Administration and Grants.** H 7171, Substitute A includes \$5.1 million less than the Governor's recommendation from restricted receipts for the administration and grants of the Governor's Workforce Board based on the Department's FY 2021 revised request. This includes \$0.7 million less for staffing, \$2.8 million less for grants, and the removal of \$1.5 million for a technology project the Department indicates was finalized in FY 2020 and funding for FY 2021 is no longer necessary. The reduction to available grant funding reflects expected available resources; the Governor's recommendation includes carry forward funding, of which there is none, as well as lower expected collections from the job development fund assessment.

**126. Unemployment Benefits.** H 7171, Substitute A includes \$1,162.6 million more for unemployment benefits to reflect expected claims based on the Department's FY 2021 revised request. The Governor's recommendation was made prior to the pandemic. This includes \$907.4 million from federal funding through the CARES Act, which covers a variety of new and amended benefits and expands worker eligibility, and \$255.2 million from the Employment Security Trust Fund for traditional claims for covered employment. FY 2020 benefits totaled \$1,422.0 million.

**127. Workplace Fraud Unit Expansion (4.0 FTE).** H 7171, Substitute A does not include the Governor's proposal to expand the Workplace Fraud Unit with authorization for 4.0 new full-time equivalent positions and \$0.4 million from general revenues. The unit assesses penalties for violations and collected \$0.6 million during FY 2019. The Governor also proposes increasing workplace compliance penalties and fines as part of her FY 2021 recommended budget which combined with the new staff were assumed to increase revenues collected by \$4.2 million. This estimate was revised to zero for FY 2021 as the current crisis makes this proposal impractical to implement and Department's FY 2021 revised budget request also excludes the new positions.

**128. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$0.2 million, including \$0.1 million from general revenues based on 38 individuals from the Department opting to participate in the workshare program.

**129. Year Up - New Grant.** The Governor proposes \$250,000 in new general revenue support for Year Up. The organization has received funding from the Governor's Contingency Fund in each of the last three years. H 7171, Substitute A excludes the new funding.

### **Revenue**

**130. Car Tax Phase-Out - Current Law.** H 7171, Substitute A does not include the Governor's proposal to extend the motor vehicle excise tax phase-out five additional years and provides \$11.8 million more than included in her budget to maintain the phase-out schedule under current law.

**131. CDL Road Test Transfer (3.0 FTE).** H 7171, Substitute A does not contain the Governor's proposal to transfer the administration of commercial driver's skill tests from the Community College of Rhode Island to the Division of Motor Vehicles effective January 1, 2021 and excludes associated revenues and expenses including \$0.1 million from general revenues and 3.0 new full-time equivalent positions. It does appear that implementation would require at least a few of the six months lead time envisioned in the original proposal.

**132. COVID Relief - Other Local Aid.** H 7171, Substitute A includes a total of \$196.1 million to support local communities and provide them additional resources to respond to the Coronavirus pandemic. The funding is distributed based on statutory formulas for three general aid programs at an enhanced level of support and includes a separate distribution based on the population of each municipality. This includes \$59.5 million from general revenues and \$136.7 million from Coronavirus Relief Funds which provides a total of \$25.0 million of funding above what resources would have been after accounting for adjustments

to account for fully funding the value of the motor vehicle tax phase-out and distressed communities programs.

**133. Deputy Chief of Legal Services (1.0 FTE).** H 7171, Substitute A does not contain the Governor's recommendation to add \$0.1 million from general revenues and authorization for 1.0 new full-time equivalent position to provide additional legal support to the Department.

**134. Distressed Communities to Enacted.** The Governor's recommendation includes \$6.2 million from general revenues, half the \$12.4 million enacted level to support the Distressed Communities Relief Fund. H 7171, Substitute A provides resources to maintain the enacted level of funding.

**135. License Plate Reissuance Delay.** H 7171, Substitute A excludes \$4.6 million from general revenues for the license plate reissuance, required by statute to begin June 1, 2020. The Governor's office never approved a plate design which delayed the production. The November 2020 Revenue Estimating Conference estimate for FY 2021 excluded the associated revenues based on the delayed implementation; H 7171, Substitute A adjusts the expenditures accordingly.

**136. Central Falls Bankruptcy Related Liabilities.** The Governor's recommended budget includes \$0.9 million from general revenues for liabilities related to the City of Central Falls bankruptcy paid through the Department of Revenue including ongoing pension support. The Department's revised budget report and subsequent information confirmed the expected expenses are \$0.2 million lower. H 7171, Substitute A adjusts the expenditures accordingly.

**137. Turnover and Operations - Q1.** H 7171, Substitute A reduces recommended expenses by \$0.8 million across several divisions to recognize savings in both staffing and operating expenses consistent with the Department's revised request and first quarter report. Included in the adjustment is \$0.5 million of savings from turnover in the Division of Taxation.

**138. Revenue Initiatives Implementation (5.0 FTE).** H 7171, Substitute A does not include the Governor's proposals for several new revenue initiatives and therefore also excludes the \$1.5 million from general revenues and 5.0 full-time equivalent positions to implement the proposals.

**139. Saturday Hours.** H 7171, Substitute A does not include the \$0.1 million from general revenues the Governor recommended to offer Saturday hours at the Division of Motor Vehicles every other weekend for one year, by appointment for Real ID issuance. The deadline for Real ID compliance has been extended by one year.

**140. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$896,839, including \$877,711 from general revenues based on 148 individuals from the Department opting to participate in the workshare program.

### **Legislature**

**141. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$0.8 million based on 144 individuals from the Legislature opting to participate in the workshare program.

### **Office of the Lieutenant Governor**

**142. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$17,791 based on three individuals from the Office opting to participate in the workshare program.

## Secretary of State

**143. Capital - Election Equipment (GBA).** H 7171, Substitute A includes the Governor's requested budget amendment to shift \$0.2 million from Rhode Island Capital Plan funds recommended to procure election equipment from FY 2021 to FY 2023. This includes 200 AutoMark tables, 500 privacy booths, and other election equipment for future elections. Current AutoMark tables were last purchased in 2005 and the recommendation notes that there is an expectation that this equipment will last for multiple election cycles.

**144. Election Expenses.** H 7171, Substitute A includes \$0.2 million more from general revenues and \$1.9 million from federal funds awarded through the CARES Act for additional election expenses incurred with the shift to a mail ballot centric election as required by Executive Order 20-11. The amounts represent the remaining balance of the CARES Act funding which has been spent on the elections in September and November as well as information contained in the Budget Office first quarter report.

**145. Special GO Bond Election.** H 7171, Substitute A adds \$1.5 million from general revenues to fund a mail ballot centric special election for general obligation bond referenda in 2021. Delay of the FY 2021 budget enactment meant the referenda could not appear on the November 2020 ballot. The amount assumes the election will be handled in the same manner as in June and November, with a modified process to allow for the expansion of mail ballot use and assumes voter participation similar to the June 2020 election. This includes \$1.0 million for the Office of the Secretary of State and \$0.5 million for the Board of Elections.

**146. Staffing Turnover Correction - Q1.** The Governor's budget recommendation reduced funding below prior levels and assumed that about twelve positions would be vacant. At the time the budget was proposed, only one position was vacant. Based on actual payroll expenses through mid-November where there remains only one vacancy, the budget is underfunded by \$1.2 million. H 7171, Substitute A adds funding to maintain current service.

**147. State Archives Lease.** The 2020 Assembly authorized the Secretary of State to enter into a lease agreement for exhibition, office and vault space for its state archives not to exceed 10 years and a total aggregate rent of \$2.8 million. The Office's previous lease expired and a new location was needed by the end of July. H 7171, Substitute A adds \$40,000 each from general revenues, restricted receipts, and the Records Center Internal Service Fund to reflect the cost of this new lease, based on the expected terms as provided by the Office of the Secretary of State.

**148. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$33,880 based on four individuals opting to participate in the workshare program.

## Office of the General Treasurer

**149. Unclaimed Property.** H 7171, Substitute A includes \$0.1 million less for the unclaimed property program for FY 2021 to reflect the estimate of the November Revenue Estimating Conference. This reflects a transfer to the state General Fund estimated to be \$10.3 million for FY 2021 and \$12.9 million in claims paid.

**150. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$9,751, including \$5,226 from general revenues based on two individuals from the Office opting to participate in the workshare program.

## Board of Elections

**151. Special GO Bond Election.** H 7171, Substitute A adds \$1.5 million from general revenues to fund a mail ballot centric special election for general obligation bond referenda in 2021. Delay of the FY 2021

budget enactment meant, the referenda could not appear on the November 2020 ballot. The amount assumes the election will be handled in the same manner as in June and November, with a modified process to allow for the expansion of mail ballots use and assumes voter participation similar to the June 2020 election. This includes \$1.0 million for the Office of the Secretary of State and \$0.5 million for the Board of Elections.

### **Ethics Commission**

**152. Legal Services Increase.** The Governor's budget adds \$80,000 from general revenues for contracted legal services relating to a matter pending the Rhode Island Superior Court. According to the Commission's first quarter report, this matter has concluded and only \$15,000 of the additional funding was required. Accordingly, H 7171, Substitute A adjusts expenditures by \$65,000.

**153. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$11,906 based on two individuals opting to participate in the workshare program.

### **Governor's Office**

**154. Contingency Fund to Enacted.** The contingency fund may be used for expenditures in any state department or agency where funding is insufficient, or where such requirements are due to unforeseen conditions or are non-recurring items of an unusual nature. The Governor's FY 2021 recommendation increased enacted funding by \$100,000 to \$250,000. H 7171, Substitute A excludes the increased funding.

**155. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$21,142 based on four individuals from the Office opting to participate in the workshare program.

### **Commission for Human Rights**

**156. Senior Compliance Officer (0.5 FTE).** H 7171, Substitute A does not include the Governor's proposal to fill a part-time senior compliance officer position that has remained vacant since 2009, and reduces general revenues by \$42,500. The Commission's FY 2021 revised request and first quarter report maintain this position as vacant.

**157. Personnel and Operating - Q1.** Based on the Commission's first quarter spending, H 7171, Substitute A includes \$45,577 less from all sources including \$62,041 less from general revenues. This reflects additional turnover savings from two vacancies that occurred in the fall and more rent costs shifted to available federal funds.

### **Public Utilities Commission**

**158. Dual Party Phone Relay (GBA).** As part of the FY 2019 audited closing, the State Controller and Auditor General reclassified a number of escrow accounts as restricted receipts. Expenditures within escrow accounts do not typically appear in agency operating budgets. The Governor's budget inadvertently excluded these funds. H 7171, Substitute A contains the Governor's requested amendment to include \$0.4 million from restricted receipts to reflect the new guidelines; this is consistent with expected expenses.

**159. Engineering Specialists (1.0 FTE).** H 7171, Substitute A does not contain the Governor's proposal to add \$0.1 million from restricted receipts assessed to the regulated utilities and 1.0 new full-time engineering specialist.

**160. Public Utilities Analyst III (1.0 FTE).** H 7171, Substitute A does not contain the Governor's proposal to add \$0.1 million from restricted receipts assessed to the regulated utilities for 1.0 new full-time Public Utilities Analyst III position.

**161. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$0.1 million mostly from restricted receipts assessed to the regulated utilities based on 12 individuals from the Commission opting to participate in the workshare program.

#### **Executive Office of Health and Human Services**

**162. Medicaid Caseload - November Conference.** H 7171, Substitute A adds \$227.9 million for FY 2021 medical assistance expenses reflecting changes made at the November caseload estimate. This includes general revenue savings of \$92.1 million from an increase in the Medicaid match rate available during the public health emergency, or three quarters of FY 2021. This also reflects updates to projected enrollment and program costs. Expenses are \$44.2 million less from general revenues, \$272.1 million more from federal funds and \$0.1 million less from restricted receipts than the Governor's budget, adjusted for recommended changes that could not be included in the estimate which were also adjusted for the impact of delayed budget enactment.

**163. Adult Co-Payment Proposal and Implementation (2.0 FTE).** H 7171, Substitute A does not contain the Governor's proposal to institute co-payments for non-elderly, non-disabled adults, including parents enrolled in RIte Care, for non-emergency visits to emergency rooms, non-preventive physician visits and prescription drugs. It restores savings of \$7.8 million, including \$1.6 million from general revenues, assumed from the proposal and administrative expenses, and eliminates the two positions included in the Governor's recommendation to implement the change.

**164. Contracted Clinical Evaluator.** H 7171, Substitute A does not include the Governor's recommendation to add \$180,000, including \$90,000 from general revenues, for contracted clinician services. Positions previously responsible for similar work were repurposed for other duties in the past several years within the organization.

**165. COVID Related Personnel Costs.** H 7171, Substitute A shifts \$0.1 million from general revenues to federal funds based on staff time responding directly to the COVID-19 emergency, consistent with updated federal guidance.

**166. COVID Relief - Early Intervention and Children's Services Provider Relief.** H 7171, Substitute A includes \$5.0 million from Coronavirus Relief funds to early intervention and children's service provider agencies that offer home based therapeutic services, personal assistance services and supports, applied behavior analysis, child and adolescent intensive treatment services, social groups for children with autism and CEDARR Family Centers. The one-time grants made to 22 providers offset any revenue loss that resulted from an interruption of services related to the pandemic.

**167. COVID Relief - Pediatric Primary Care Rate & Immunizations.** H 7171, Substitute A includes \$6.1 million from Coronavirus Relief funds to support pediatric primary care providers. There is \$3.1 million for financial relief payments to pediatric primary care providers meant to provide immediate cash flow to make up for lost revenue due to COVID-19. Grant payments will be made to practices that provide primary care to children based on the number of children served by the practice and are contingent on provider efforts to bring children up to date with immunizations, lead screening, and the full range of well-child care. The remaining \$3.0 million supports 42 participating pediatric and family medicine practices that are involved in the incentive based model working to ensure that Medicaid covered children have access to essential primary care services.

**168. COVID Relief - Primary Care Provider - Focused Technical Assistance.** H 7171, Substitute A includes \$1.1 million from Coronavirus Relief funds to provide technical assistance to health care providers. This includes the use of telemedicine services, financial and infrastructure support, with a focus on primary care providers and community health teams, to address the health care needs of those vulnerable

populations and make sure that providers can continue to meet critical health care needs during the pandemic.

**169. COVID Relief - Work Stabilization Fund (Phase 4).** H 7171, Substitute A includes \$4.0 million from Coronavirus Relief funds for a fourth round of funding to provide payroll support for frontline workers in congregate care settings earning under \$25 per hour. This includes those who work with seniors, people with developmental disabilities, individuals with mental health and substance abuse disorders, and young people in Department of Children, Youth, and Families' congregate care facilities. Payments totaling \$16.3 million were made from April through July for Phase 1 through Phase 3.

**170. COVID Relief Funds - Community Based Providers.** H 7171, Substitute A includes \$3.0 million from Coronavirus Relief funds for community based agencies providing services to adults with developmental disabilities. The Department has awarded funding to 40 agencies for payments to be made to direct support staff who make less than \$20 an hour. This includes a one-time total payment of \$1,200 for staff working 30 hours or more hours. For staff working between 15 and 21 hours, the payment is \$540 and \$750 between 22 and 29 hours a week.

**171. Dual Care Management.** The Governor's budget proposes savings of \$0.9 million, including \$0.4 million from general revenues, in the medical assistance program from increasing the number of elderly and disabled individuals receiving case management services through the Rhode Island Parent Information Network (RIPIN) from 500 to 2,000. The proposal would identify Medicaid beneficiaries with high medical costs and control those costs which may impact services being provided to the individuals. November Caseload Conference testimony suggested the proposed savings were likely showing up in the caseload data already and savings above that was not likely. H 7171, Substitute A excludes the proposal.

**172. Duplicate Child Care Licensing Legal Position (1.0 FTE).** The FY 2020 enacted budget included a new child care licensing legal position and \$0.2 million in the Executive Office's budget to support the child care licensing unit being transferred from the Department of Children, Youth and Families to the Department of Human Services. After the budget was enacted, the Executive Office reported that it and decided not to include the newly authorized position in its reorganized staff plan. However, it requested the position be added again for FY 2021 which the Governor recommended. The position has been filled but in the Department of Human Services. H 7171, Substitute A excludes both the new position and general revenues since this duplicates the funding and authority already approved for FY 2020.

**173. High Utilizers Initiative Implementation.** The Governor recommended adding \$500,000, including \$250,000 from general revenues, for contracted services to implement a plan to achieve savings of \$6.1 million in the medical assistance program from identifying high cost Medicaid beneficiaries and controlling those costs. The Executive Office testified at the November Caseload Estimating Conference that the proposed savings in the medical assistance program could not be achieved in FY 2021. H 7171, Substitute A does not include the funding.

**174. Hospitals - Rates to Current Law.** H 7171, Substitute A does not include the Governor's recommendation to freeze hospital rates at the 2020 levels. With the late budget passage, the freeze would only apply to three quarters of the year. H 7171 Substitute A adds \$15.7 million, including \$4.8 million from general revenues, to provide a total of \$20.9 million to fund the statutory rate increase.

**175. Hospitals - UPL.** H 7171, Substitute A includes an outpatient upper payment limit reimbursement to the hospitals for FY 2021 totaling \$7.9 million, consistent with current law. The Governor had proposed eliminating the payment. The \$6.8 million addition to the Governor's budget, includes \$2.2 million from general revenues and corrects the value of the payment which was understated in the November caseload estimate based on an error in the testimony.

**176. LTSS Resiliency Fund - Nursing Facility Transformation.** H 7171, Substitute A includes \$9.0 million from Coronavirus Relief funds for the Nursing Facility Transformation Program. As part of the \$25.0 million for the LTSS Resiliency Fund, the Executive Office of Health and Human Services awarded funding to ten nursing facilities: eight received \$1.0 million and two received \$0.5 million. The funds can be used to reduce the number of licensed nursing home beds allowing the facility to diversify the use of the vacant beds and build capacity to be able to provide services to more specialized populations.

**177. LTSS Resiliency Fund - Nursing Home Supports.** H 7171, Substitute A includes \$4.7 million from Coronavirus Relief funds for payments made through the Nursing Home Supports Program. As part of the \$25.0 million for the LTSS Resiliency Fund, the state made \$800 per bed payments to nursing facilities that covered approximately 5,700 beds.

**178. LTSS Resiliency Fund - Home & Community Based Services.** H 7171, Substitute A includes \$5.9 million from Coronavirus Relief funds for the Home and Community Based Services Investments Program. As part of the \$25.0 million for the LTSS Resiliency Fund, the Executive Office of Health and Human Services awarded funding in three separate phases to expand access to community based services, supporting home health care workers, and provide behavioral healthcare training.

**179. Nursing Home Beds - Diversification.** H 7171, Substitute A does not include the Governor's recommendation to add \$1.0 million from general revenues for an incentive program for nursing facilities to lower the number of beds available and potentially repurpose the empty beds for other state priorities. This is consistent with the Executive Office's revised request and funding for this activity is included in the nursing facility transformation program using Coronavirus Relief funds.

**180. Nursing Home Rates to Current Law.** H 7171, Substitute A does not include the Governor's proposal to limit the rate increase for nursing facilities to one percent and adds \$5.1 million, including \$2.1 million from general revenues, for an October 1, 2020 rate increase of 2.9 percent consistent with current law. The total value of the increase is \$7.8 million.

**181. Perinatal Doula Services.** H 7171, Substitute A does not include the Governor's recommendation to expand the Medicaid program to include coverage for Perinatal Doula Services. Originally estimated to cost \$0.2 million with half coming from general revenues, the revised estimate calculated with the November Caseload Estimate to reflect delay in budget adoption is \$149,956, including \$57,308 from general revenues.

**182. Preschool Development Grant.** In December 2019, the state was awarded a three-year, \$26.8 million federal grant to improve birth through age five education through the federal Preschool Developmental Grant. H 7171, Substitute A includes \$1.5 million in the Executive Office's budget to fund a public awareness campaign, research activities related to the barriers to access child care, and a survey to determine child care needs in the state. Funding also supports contracted information technology management and program evaluation services.

**183. RIte Share Employer Requirements Implementation.** The Governor proposed savings of \$19.0 million in the medical assistance program from a significant change in the way the RIte Share program operates by having employers with at least 50 workers, excluding non-profits, submit employee-specific information to the Executive Office of Health and Human Services and Division of Taxation so a determination can be made if a Medicaid eligible individual has access to employer sponsored insurance. The recommendation also included \$0.6 million to implement the proposal. The Executive Office testified at that November Caseload Estimating Conference that the savings could be not achieved in FY 2021. Therefore, when restating the Governor's proposed FY 2021 savings for the updated caseload estimates, this value was reduced to zero. H 7171, Substitute A excludes the administrative expenses related to the proposal and retains the current law program requirements.

**184. Reappropriation - MMIS Reprocurement.** H 7171, Substitute A includes the \$96,548 from general revenues that the Governor reappropriated to FY 2021 to hire a contractor to start the reprocurement process of the Medicaid Management Information System.

**185. Reappropriation - Health Information Technology.** H 7171, Substitute A includes the \$95,000 from general revenues that the Governor reappropriated to FY 2021 for health information technology upgrades.

**186. Reappropriation - Electronic Visit Verification.** H 7171, Substitute A includes the \$76,858 from general revenues that the Governor reappropriated to FY 2021 for the contract for the Electronic Visit Verification system.

**187. SBIRT Grant.** H 7171, Substitute A includes \$124,800 from federal Screening, Brief Intervention, and Referral to Treatment funds for the Rhode Island Quality Institute to assist with health information projects related to opioid overdose prevention activities.

**188. Turnover and Contracted Services - Q1.** H 7171, Substitute A reduces general revenue funding for staffing and contracted financial services by \$0.5 million to reflect changes included in the first quarter report.

**189. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures \$166,180, including \$84,369 from general revenues and \$81,811 from federal funds, based on 21 individuals from the Executive Office opting to participate in the workshare program.

#### **Children, Youth and Families**

**190. Capital - Generators (GBA).** H 7171, Substitute A includes \$0.7 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 and adds \$59,938 to reflect updated project costs for the installation of a generator at the Training School, consistent with the Governor's requested amendment.

**191. Capital - Training School Asset Protection (GBA).** H 7171, Substitute A includes \$0.2 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 for the Training School Asset Protection project. This would provide \$0.5 million for FY 2021.

**192. Capital - Training School Redesign.** The Governor's recommendation includes \$1.8 million for both FY 2021 and FY 2022 for a new project to redesign the Rhode Island Training School. The Governor subsequently requested an amendment to delay the funding one year. H 7171, Substitute A excludes all funding for this project which can be reconsidered as part of the FY 2022 budget.

**193. Child Care - Q1.** The Governor's recommendation includes \$7.9 million from all sources, including \$4.0 million from general revenues, for daycare expenses for children in foster care. H 7171, Substitute A includes \$0.9 million less than recommended, including \$0.7 million less from general revenues and \$0.2 million less from federal funds, based on updated expenses and is consistent with the Department's first quarter report.

**194. Contracted Services - One Time.** H 7171, Substitute A does not include the \$350,000 for an organizational assessment conducted by Alvarez and Marsal which was hired to consult with the Department. This consultant reviewed revenues and federal funding optimization including Medicaid and Title IV-E and its methods of data collection and analysis. This funding was inadvertently included in the Governor's FY 2021 recommendation; the final payment was made in FY 2020.



**195. COVID Positive Youth Stipend.** In response to the COVID-19 emergency, the Department of Children, Youth and Families is providing additional support for foster families that test positive for COVID-19 with enhanced additive rates of \$10 and \$5, for the first 14 days and then days 15 to 28 respectively, to any foster family who takes in a youth diagnosed with COVID-19. The Budget Office estimates costs of \$0.1 million from the Coronavirus Relief Fund for this initiative. H 7171, Substitute A provides funding consistent with that estimate.

**196. COVID Related Personnel Costs.** H 7171, Substitute A shifts \$2.4 million from general revenues to federal funds based on staff time responding directly to the COVID-19 emergency, consistent with updated federal guidance.

**197. COVID Relief - Child Care.** On May 27, the Governor signed Executive Order 20-39 authorizing the Department of Human Services to temporarily increase child care reimbursement rates for Child Care Assistance Program providers to assist with the cost of new regulations. Rates announced by the Department of Human Services are effective beginning June 1 and are anticipated to increase program expenditures by \$0.3 million each week. The new rates would have expired on June 26; however, several Executive Orders extended the rates most recently through December 23. Based on this estimate, H 7171, Substitute A includes \$0.6 million more from federal funds for FY 2021 for daycare expenses for children in foster care.

**198. COVID Relief - Isolation, Intake Placements.** H 7171, Substitute A includes \$2.0 million for placements for youth or families who need to be quarantined prior to placement or who may have been exposed to COVID-19, based on estimates provided by the Office of Management and Budget.

**199. COVID Relief - VEC Program Extension Phase II.** The Governor renewed prior executive orders which authorized the extension of services for youth who would otherwise age out of the Voluntary Extension of Care program at age 21 through August 31, 2020. H 7171, Substitute A includes funding of \$0.1 million for FY 2021 for the extension of services based on estimated costs reported.

**200. Current Service Placements.** H 7171, Substitute A includes \$2.2 million less than recommended, including \$0.9 million less from general revenues, for placement costs based on updated census projections provided in the Department's revised request. This includes a lower overall population and fewer out of state placements. This is \$3.9 million more than what was spent in FY 2020.

**201. FMAP Relief.** On March 18, 2020, President Trump signed into law the Families First Coronavirus Response Act which temporarily increased a state's Medicaid match rate by 6.2 percent for services provided from January 1, 2020 until the end of the public health emergency. Rhode Island's Medicaid match rate is increased to 59.15 percent from 52.95 percent. H 7171, Substitute A includes general revenue savings of \$3.9 million for the Department based on the enhanced rate through the third quarter.

**202. Higher Education Incentive Grant - Current Law.** H 7171, Substitute A adds \$0.2 million to restore the Higher Education Incentive Grant consistent with current law requiring annual funding for grants to qualifying youth who were in state care and choose to attend the University of Rhode Island, Rhode Island College, or the Community College of Rhode Island. The Governor recommends general revenue savings of \$0.2 million from using excess funds available from prior years but does not include the necessary legal change to effectuate it.

**203. Turnover Savings.** The Governor includes \$9.3 million more than enacted, primarily general revenues in her FY 2021 recommendation for Department staffing. The Governor's recommendation assumed filling many of the Department's vacant positions which has not occurred. The Department had requested funding for a hiring surge to bolster its frontline staff and several savings initiatives that included hiring additional staff. H 7171, Substitute A includes \$7.4 million in turnover savings, including \$7.6

million less from general revenues and \$0.2 million more from federal funds, based on the first quarter report adjusted for spending through the first pay period in November.

**204. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$219,367, including \$155,413 from general revenues, for FY 2021 based on 38 individuals from the Department opting to participate in the workshare program.

### **Health**

**205. Adult-Use Marijuana Implementation (4.0 New FTE).** H 7171, Substitute A does not include the authorization or regulation of any adult-use marijuana program as proposed by the Governor. It adjusts expenditures and staffing authorization in the Department for which the recommended budget included \$0.6 million and 4.0 new full-time equivalent positions assuming passage of the proposal.

**206. Capital - Health Lab. & Medical Examiner Equipment (GBA).** The five-year plan assumes \$0.4 million annually from Rhode Island Capital Plan funds to replace medical equipment in the Biological, Forensic and Environmental Sciences laboratories. The Department indicates that some of its current equipment is beyond its 10 to 12 year useful life and is no longer being supported by the manufacturers. H 7171, Substitute A is consistent with the Governor's requested amendment to shift \$0.2 million of FY 2021 expenses to FY 2022.

**207. COVID Relief - Consultant Support.** The Department anticipates expenditures of \$375,000 for a vendor to provide strategic program management support and subject matter expertise to help the increase and target testing, strategically plan for and begin to distribute vaccines, revamp case investigation and contact tracing as well as other management support. H 7171, Substitute A includes these expenses, including \$250,000 from general revenues for activities after December 30.

**208. COVID Relief - Public Health Communications and Data Analytics.** The administration projects expenditures of \$12.4 million for communications, data, modeling and analytics relating to COVID-19 for FY 2021. These expenses include communication campaigns, project management, interpreters for press conferences and translation services. H 7171, Substitute A includes these expenses of which \$1.6 million is from general revenues for activities after December 30.

**209. COVID Related Personnel Costs.** H 7171, Substitute A shifts \$7.9 million of personnel costs from general revenues to federal funds based on the Department's staff time responding directly to the COVID-19 emergency, consistent with federal guidance.

**210. COVID Relief - Technical Enablement/Development.** H 7171, Substitute A includes \$10.6 million from all sources, including \$0.4 million from general revenues for software and other information technology expenses related to COVID-19. These include expenses for the CrushCovid RI App, the Salesforce software, which is used to coordinate the tracking of demographic data and personnel costs for contractors providing support. General revenues are expected to support activities after December 30.

**211. COVID Relief - Vaccine Campaign.** Based on projected expenses, H 7171, Substitute A includes a total of \$37.5 million from all sources for the distribution of a vaccine, including personnel to administer it, storage, supplies and outreach. The actual cost of the vaccine will be paid by the federal government. This includes \$12.5 million from general revenues for expenses expected to occur after December 30 when the Coronavirus Relief funds expire.

**212. COVID Relief - Contact Tracing (15.0 FTE).** Based on projected expenditures, H 7171, Substitute A adds \$63.2 million from all sources for contact tracing and case investigation. This assumes \$51.7 million would be spent by December 30 and the remaining \$11.5 million from general revenues would be spent in

the second half of the fiscal year. These expenses include contracted staffing from ADIL and Guidesoft, retired nurses and epidemiology services from Brown University. This also includes space for staff to work, call center infrastructure, and a staffing vendor to provide services in the event of a surge. H 7171, Substitute A also authorizes 15.0 new full-time equivalent positions to account for the additional staff that has been hired to assist with this and other elements of the Department's response efforts. Total staffing has been near the enacted limit as other vacancies have been used to cover these priorities.

**213. COVID Relief - Health Equity Zones.** Based on projected expenditures, H 7171, Substitute A includes \$4.2 million from federal Coronavirus Relief funds to support the Health Equity Zones to support mitigation efforts. A total of 10 Health Equity Zones were created throughout the state in 2015. The zones are geographical areas designed to achieve health equity by eliminating health disparities to promote healthy communities. They are currently located in Providence, Pawtucket, Central Falls, West Warwick, Woonsocket, Bristol and Newport.

**214. COVID Relief - Medical Examiner and Surge Expenses.** As part of the Paycheck Protection Program and Health Care Enhancement Act, the Department of Health received \$86.7 million for the state testing component. The Department obtained approval from the Centers for Disease Control and Prevention to use \$2.5 million from that federal grant to purchase a 174,937 square foot building in Cumberland for the Office of State Medical Examiners. Based on projected expenditures, H 7171, Substitute A includes \$3.4 million from federal funds for the cost of the building and \$0.9 million to purchase vehicles to respond to the scene of deaths.

**215. COVID Relief - Testing.** The state anticipates spending \$264.2 million on testing in FY 2021, which includes laboratory contracts, supplies, site equipment, and staffing. In FY 2020, \$5.4 million was spent. Expenses through the end of the calendar year are projected at \$143.0 million and an additional \$121.2 million would be spent in the second half of the fiscal year. H 7171, Substitute A includes \$264.2 million for these expenses, including \$60.5 million from general revenues which would cover expenses to be incurred in the second half of fiscal year for which there is no other federal support.

**216. Home Visiting Program Expansion.** The Governor's budget adds new general revenue expenditures of \$0.4 million to provide families with resources and services such as preventive health and prenatal care and \$0.7 million to support family home visiting programs, which provide families with resources and services such as promoting positive parenting techniques, and finding employment and child care solutions. H 7171, Substitute A does not include the new funding. This program is currently funded with federal Maternal and Child Health Care Block Grant funds.

**217. Interstate Medical Licensing - Membership Fees.** H 7171, Substitute A does not include the Governor's proposal to authorize the state to join several interstate medical compacts, including physicians, psychologists, physical therapists, and emergency medical services personnel and adjusts revenues accordingly. The recommended budget assumes \$12,000 from general revenues for the annual fee to join the licensure compacts for nurses and psychologists.

**218. Preschool Development Grant & Other Federal Adjustments (GBA).** The Executive Office of Health and Human Services received a new three year grant award of \$27.0 million to improve the quality and availability of early childhood care and education services in the state. The Executive Office entered into a Memorandum of Understanding with the Department of Health to complete certain tasks. The Governor subsequently requested an amendment to include \$1.9 million in the Department of Health to conduct a comprehensive statewide birth through five needs assessment to provide more choices for child care centers, home visiting service providers and home-based child care providers. The amendment also reduced federal fund expenditures in Community Health and Equity by \$0.3 million, reflecting an updated federal match. H 7171, Substitute A includes the adjustments.

**219. Public Health Data Charges.** H 7171, Substitute A does not contain the Governor’s proposal to authorize the director of the Department of Health to charge fees for processing special data requests and offer exemptions from those fees. The proposal called for “approximately half” of the revenues to be appropriated to the Department to manage and sustain data systems to meet requests. The Governor recommended \$0.2 million for operating expenses based on \$0.4 million in revenues. The budget enactment delay lowers projected revenues in FY 2021 to \$0.2 million assuming six months of collections. H 7171, Substitute A excludes the revenues and related expenses.

**220. Shellfish Dockside New Program (3.0 FTE).** H 7171, Substitute A does not contain the Governor’s proposal to authorize the director of the Department of Health to impose licensing fees on marine shellfish processors. The fees would be established through rules and regulations. The fees would be deposited as general revenues and would be allocated to the Department for the administration of the program. The recommended budget assumes revenues of \$0.4 million and a like amount in expenditures for staffing of 3.0 new positions and operating expenses associated with providing sampling, laboratory testing and monitoring.

**221. Staffing Enhancement - Current Programs (35.0 FTE).** The recommended budget includes \$3.1 million from federal funds and restricted receipts to fund 35.0 new positions in the Department to expand various programs, including lead poisoning prevention, drug overdose prevention, the Women, Infants, and Children nutrition assistance program and others. H 7171, Substitute A excludes the new positions and adjusts expenditures and staffing accordingly.

**222. Workshare.** H 7171, Substitute A includes savings of \$16,457, including \$8,201 from general revenues for FY 2021 based on one individual from the Department opting to participate in the workshare program.

### **Human Services**

**223. Aging and Disability Resource Center CARES Act.** The final FY 2020 appropriation included \$0.3 million from new federal funds awarded to the Office of Healthy Aging to launch and support the state’s aging and disability center. Additionally, the Department of Administration approved the use of \$15,792 from Coronavirus Relief funds to assist specifically with outreach to this population. As funds were not spent in FY 2020, H 7171 Substitute A includes \$260,155 for FY 2021, with the remaining funds to be used in FY 2022.

**224. Capital - Blind Vending Facilities (GBA).** H 7171, Substitute A includes the Governor’s requested budget amendment to provide a total of \$68,382 from Rhode Island Capital Plan funds for the state’s blind vending facilities for FY 2021. This is \$96,618 less than recommended and reflects the unused funds reappropriated from FY 2020 and a shift of the original recommendation of \$165,000 for FY 2021 to FY 2022.

**225. Capital - Veterans Home Asset Protection (GBA).** H 7171, Substitute A includes the Governor’s requested amendment to remove funding for the Veterans Home Asset Protection project for FY 2021. No asset protection projects appear to be planned until remaining small projects that are part of the facility construction project are completed.

**226. Cash Assistance Caseload - November Conference.** H 7171, Substitute A reduces expenditures for cash assistance caseloads by \$16.1 million, including \$2.7 million less from general revenues, to reflect the November Caseload Estimating Conference estimates for Rhode Island Works, subsidized child care, supplemental security income and general public assistance bridge programs.

**227. Child Care Provider Capital Support.** H 7171, Substitute A includes \$5.0 million from federal Child Care Development funds for a new child care relief capital fund. Funds will be awarded to center and family based providers, including those that do not currently participate in the Child Care Assistance Program so long as they meet the grant requirements. The Department anticipates awarding up to 500 grants to providers for physical space improvements needed to comply with enhanced health and safety regulations.

**228. Child Care Program Expansion.** H 7171, Substitute A excludes the Governor's recommendation to expand eligibility for the child care assistance program to individuals enrolled at one of the state's public institutions of higher education to qualify for child care assistance. Based on a delay in implementation the projected cost was revised to \$100,000 for the final six months of FY 2021.

**229. Child Care Rates - Current Law.** H 7171, Substitute A excludes the Governor's proposal to increase rates paid to center-based child care providers for infant, toddler and preschool age children in the child care assistance program. The budget delay revised the FY 2021 estimated to cost \$1.9 million. It should be noted, however, that on May 27, the Governor signed Executive Order 20-39 authorizing the Department to temporarily increase child care reimbursement rates for Child Care Assistance Program providers to assist with the cost of new regulations. Through executive orders, the enhanced rates have been extended each month through December 23. The November caseload estimate assumes the enhanced rates will continue to be extended through the remainder of FY 2021.

**230. Child Support Enforcement Escrow Conversion (GBA).** As part of the FY 2019 audited closing, the State Controller and Auditor General reclassified a number of escrow accounts as restricted receipts. Expenditures within escrow accounts do not typically appear in agency operating budgets. The Governor's budget inadvertently excluded these funds. H 7171, Substitute A contains the Governor's requested amendment to include \$3.5 million from restricted receipts to reflect the new guidelines; this is consistent with expected expenses.

**231. Community Services Block Grant - CARES Act.** The final FY 2020 appropriation included \$9.6 million from federal funds to support programs and services through the Community Services Block Grant, including those targeting low-income families. This includes \$5.5 million from new funds authorized through the CARES Act; however, the new funds were not spent prior to the end of the fiscal year. Based on the Department's revised request, H 7171, Substitute A includes \$4.1 million for FY 2021, with remaining funds available for use in FY 2022.

**232. Congregate & Home Delivered Meals - CARES Act.** The final FY 2020 appropriation included a total of \$6.5 million from all sources for elderly nutrition services, including \$3.6 million from new federal funds for congregate and home delivered meals authorized in response to the coronavirus. Of the new funds, \$1.4 million was spent in FY 2020 and H 7171, Substitute A includes the remaining \$2.2 million for FY 2021.

**233. COVID Related Personnel Costs - Veterans Home.** H 7171, Substitute A shifts \$11.8 million from general revenues to federal funds based on staff time responding directly to the COVID-19 emergency, consistent with updated federal guidance.

**234. COVID Relief - Elderly Nutrition Programs.** H 7171, Substitute A includes \$0.2 million from Coronavirus Relief funds for elderly nutrition programs administered by the Office of Healthy Aging. This includes \$126,451 for Meals on Wheels to distribute 18,480 meals in December and \$55,000 to deliver 500 food and personal care supply boxes in December.

**235. COVID Relief - Rhode Island Community Food Bank.** H 7171, Substitute A adds \$0.7 million from Coronavirus Relief funds for the Rhode Island Community Food Bank. This in addition to the enacted level of \$175,000 from general revenues, for total funding of \$0.8 million.

**236. COVID Relief - Rhode Island Works Emergency Payment.** On November 25, the Governor announced that the Department of Human Services would issue a supplemental emergency payment to families currently receiving Rhode Island Works benefits. Participants will receive one extra month of benefits in December at the usual monthly value. A similar payment was made to participants in June. H 7171, Substitute A includes \$1.5 million from federal Coronavirus Relief funds for the emergency payment.

**237. COVID Relief - Supplemental Nutrition Program Emergency Payment.** On November 25, the Governor announced that the Department of Human Services will issue a one-time benefit of approximately \$600 to households currently receiving Supplemental Nutrition Assistance Program benefits. H 7171, Substitute A includes \$14.0 million from federal Coronavirus Relief funds to account for the one-time payment.

**238. COVID Relief - Summer Programming Guidance.** H 7171, Substitute A includes \$133,800 from Coronavirus Relief funds to account for the Department's contract with the Public Consulting Group for the development of guidelines for safe summer programming, primarily summer camps.

**239. Elderly & Disabled Supportive Services - CARES Act.** The final FY 2020 appropriation included \$1.0 million from new federal funds for supportive services to the elderly and disabled. Funds can be used for program administration and distributed to providers for a variety of activities outlined in Title III-B of the Older Americans Act, such as health education, counseling, housing services, transportation, elder abuse prevention and response activities, and in-home services, among others. As funds were not spent in FY 2020, H 7171, Substitute A includes \$0.8 million for FY 2021, with the remaining \$0.2 million available for use in FY 2022.

**240. Family Caregivers - CARES Act.** The final FY 2020 appropriation included \$0.5 million from new federal funds for family caregivers. Funds can be used for activities outlined in Title III-E of the Older Americans Act, including providing information to caregivers on available support, assisting caregivers in accessing that support, individual counseling and caregiver training, respite care, and, on a limited basis, services that supplement the care provided by caregivers. As funds were not spent before the end of the fiscal year, H 7171, Substitute A includes \$400,000 for FY 2021 based on the Department's revised request. The remaining funds would be used in FY 2022.

**241. Family Violence Prevention - CARES Act.** The final FY 2020 appropriation included \$0.2 million from new federal funds made available through the CARES Act for family violence prevention activities. Funds are distributed to local organizations to provide temporary housing and assistance to victims of family, domestic, and dating violence. Based on actual expenses incurred in FY 2020, H 7171, Substitute A includes \$0.1 million from available funds for FY 2021.

**242. FMAP Relief.** On March 18, 2020, President Trump signed into law the Families First Coronavirus Response Act which temporarily increased a state's Medicaid match rate by 6.2 percent for services provided from January 1, 2020 until the end of the public health emergency. Rhode Island's Medicaid match rate is increased to 59.15 percent from 52.95 percent. H 7171, Substitute A includes general revenue savings of \$0.4 million for the Department based on the enhanced rate through the third quarter.

**243. LIHEAP - CARES Act.** The final FY 2020 appropriation included \$37.5 million for the Low Income Home Energy Assistance Program (LIHEAP), including \$5.9 million from new federal funds available through the CARES Act. As the new funds were not spent in FY 2020, H 7171, Substitute A

includes the funding for FY 2021. The program assists low income households to meet the increasing costs of home energy and reduce the severity of an energy related crisis.

**244. Office of Veterans Services - RI Serves.** The Office established a coordinated care network solution and case management system, RI Serves, to connect veterans applying for assistance with program through a community provider network. The project was supported from Information Technology Investment funds in FY 2019 and FY 2020. The Governor added \$153,000 from general revenues for FY 2021. H 7171, Substitute A excludes the new funding; the Office has continued support with existing resources.

**245. Ombudsman Program - CARES Act.** The final FY 2020 appropriation included \$0.1 million from new federal funds available through the CARES Act for the state ombudsman program. This includes reimbursement of expenses related to remote work, purchasing equipment and other technology that allows the ombudsman to work remotely and enhancing the ombudsman presence in facilities while they cannot physically visit during the COVID-19 crisis. As funds were not spent in FY 2020, H 7171, Substitute A includes \$80,000 for FY 2021.

**246. Staff & Operating Savings - Q1.** Based on the first quarter reports submitted by both the Department and Office of Management and Budget, H 7171, Substitute A includes general revenue savings of \$2.2 million. This reflects changes across personnel and operating expenses for all program areas, excluding the Offices of Healthy Aging and Veterans Services.

**247. Preschool Development Grant (GBA).** In December 2019, the state was awarded a three-year, \$26.8 million federal grant to improve birth through age five education. H 7171, Substitute A includes the Governor's requested budget amendment to include \$8.9 million for FY 2021 across four state agencies, including \$1.4 million for the Department of Human Services.

**248. RI Community Food Bank General Revenues to Enacted.** H 7171, Substitute A includes the enacted level of \$175,000 from general revenues for the Rhode Island Community Food Bank. This in addition to \$670,000 provided from federal Coronavirus Relief funds, for total funding of \$845,000. The Governor's budget proposed doubling general revenue support to \$350,000.

**249. RI Alliance of Boys & Girls Club to Enacted.** H 7171, Substitute A includes the enacted amount of \$250,000 for the Boys and Girls Clubs Project Reach program, which provides homework assistance and afterschool activities. The Governor's budget proposed removing this general revenue support.

**250. RI Works - Expansion.** H 7171, Substitute A excludes the Governor's proposals to expand benefits for Rhode Island Works participants, including exemption of newly earned income for six months and amending the definition of dependent children. The revised impact of these changes for half the year is estimated to be \$220,000 for FY 2021.

**251. Supplemental Nutrition Assistance Program.** H 7171, Substitute A increases expenditures for the federal Supplemental Nutrition Assistance Program by \$32.0 million based on estimated program participation and benefit changes. This is consistent with the Department's revised FY 2021 request.

**252. Veterans' Home Collections to Current Law.** H 7171, Substitute A does not contain the Governor's proposal to increase the Veterans' Home resident assessments and shift them from state general revenues to restricted receipts. Subsequent to the final FY 2020 appropriation in June, the Home revised its maintenance fee policy to stop charging residents with a 70 percent or greater service related disability. This change complies with federal regulations as the Home receives an enhanced federal per diem reimbursement for these individuals. Additionally as a result of the coronavirus, the declining census at the Home has reduced maintenance fee collections and federal per diem reimbursements. H 7171,

Substitute A funds the Veterans' Home consistent with current state and federal law and provides \$1.2 million more than recommended from all sources, including \$7.5 million from general revenues.

**253. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$0.9 million, including \$0.4 million from general revenues, based on 162 individuals from the Department opting to participate in the workshare program.

#### **BHDDH**

**254. Access to Independence/Thresholds to Enacted.** H 7171, Substitute A does not contain the \$0.6 million from new general revenues the Governor proposed to increase funding for the Access to Independence and Thresholds programs. This includes \$500,000 to expand housing options through the Thresholds program and \$100,000 to help adults with developmental disabilities to remain in their homes. The Department's FY 2021 revised request and first quarter report also exclude these funds.

**255. Adult-Use Marijuana Implementation (2.0 FTE).** H 7171, Substitute A does not include the Governor's proposal for a new adult-use marijuana program. Accordingly, it excludes \$0.5 million and 2.0 new administrator positions and funding proposed for prevention, treatment, and workforce development programming in the Department.

**256. COVID Related Personnel Costs.** H 7171, Substitute A shifts \$4.9 million from general revenues to federal funds based on staff time at Eleanor Slater Hospital responding directly to the COVID-19 emergency, consistent with updated federal guidance.

**257. COVID Relief Funds - Mental Health & Domestic Violence Prevention Programs.** H 7171 Substitute A includes \$2.1 million from Coronavirus Relief funds be used to support programs that address mental health and domestic violence issues during the pandemic. Funding will support student assistance services, opioid outreach and distribution of naloxone, and counseling and advocacy for survivors of family violence. The Rhode Island Foundation is managing the process to award the funds.

**258. COVID Relief Funds - Substance Abuse Provider Relief Grant.** H 7171, Substitute A includes \$1.0 million from Coronavirus Relief funds to be used to support substance abuse prevention programs that expand community based treatment services potentially reducing inpatient hospitalizations during the pandemic.

**259. DD - Rate Enhancement.** H 7171, Substitute A does not contain the Governor's recommendation to enhance current rates for direct support and other professionals employed through the community based agencies and excludes the proposed \$2.2 million, including \$1.0 million from general revenues.

**260. Eleanor Slater Hospital - Forensic Unit Match Issue.** In May, the Department revealed that the state could also not claim Medicaid for forensic patients at the state hospitals making it ineligible for \$15.0 million of federal funds assumed in the Governor's budget. H 7171, Substitute A includes sufficient state resources to restore the lost federal funds for FY 2021.

**261. Eleanor Slater Hospital - Medical Patients - Match Issue.** In June, the Department testified to the House Finance Committee that it could also not bill Medicaid, or Medicare, for an unidentified number of patients who were likely not meeting the level of care required to be in a hospital setting. Such patients should be in other placements, such as a nursing home for which reimbursements are considerably lower. Further analysis suggest the total loss of federal and other revenue to be \$55.2 million for FY 2021. H 7171, Substitute A includes sufficient state resources to restore the lost federal funds for FY 2021.



**262. Eleanor Slater Hospital - Medical Patients - SPA.** H 7171, Substitute A includes \$10.0 million in federal funds to offset the losses noted above based on federal approval of the state plan amendment to allow the state to once again receive Medicaid funds for medical and certain psychiatric patients at Eleanor Slater Hospital. The Department is completing a review process to determine what hospital costs are reimbursable by Medicaid and provided this conservative estimate in the first quarter report.

**263. FMAP Relief - Developmental Disabilities.** On March 18, 2020, President Trump signed into law the Families First Coronavirus Response Act which temporarily increased a state's Medicaid match rate by 6.2 percent for services provided from January 1, 2020 until the end of the public health emergency. Rhode Island's Medicaid match rate is increased to 59.15 percent from 52.95 percent. H 7171, Substitute A includes general revenue savings of \$10.8 million for the Department based on the enhanced rate through the third quarter of FY 2021.

**264. Person Centered Supported Employment - Federal Match Correction.** H 7171, Substitute A excludes \$3.0 million from Medicaid assumed for payments to community-based providers participating in the Person Centered Supported Employment Performance Program to recognize that the services being provided cannot be matched by Medicaid. H 7171, Substitute A maintains the \$3.0 million from general revenues to support the program which includes certification payments, supplemental authorization and payments for meeting benchmarks in the consent decree.

**265. Prevention Programs New Fine.** H 7171, Substitute A does not include the Governor's proposal for a new \$250 fine for anyone convicted of driving under the influence or refusing a breathalyzer that would have generated \$0.2 million be used for prevention programs. It also does not include the statutory change necessary to transfer \$0.3 million of forfeiture funds collected through the Uniform Controlled Substances from the Department of Health to the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals.

**266. RICLAS - FMAP Relief.** On March 18, 2020, President Trump signed into law the Families First Coronavirus Response Act which temporarily increased a state's Medicaid match rate by 6.2 percent for services provided from January 1, 2020 until the end of the public health emergency. Rhode Island's Medicaid match rate is increased to 59.15 percent from 52.95 percent. H 7171, Substitute A includes general revenue savings of \$1.0 million for the state-run residential system known as RICLAS, in the Division of Developmental Disabilities.

**267. RICLAS Privatization Reversal.** The Governor recommends privatizing the state run system for adults with developmental disabilities, known as RICLAS, and includes savings of \$4.5 million, including \$1.0 million from general revenues, for direct services. The budget also includes \$1.0 million, of which \$0.5 million is from general revenues, for a consultant to assist with the proposal and reduces staffing by 204.0 full-time equivalent positions. H 7171, Substitute A does not include the proposal and adjusts funding accordingly between the state and community based programs and adds back the 204.0 full-time equivalent positions.

**268. Workshare.** H 7171, Substitute A includes savings of \$0.8 million, including \$0.7 million from general revenues and \$0.1 million from federal funds, based on 116 individuals from the Department opting to participate in the workshare program.

### **Child Advocate**

**269. Staffing Cost Shift (GBA).** As submitted, the Governor's budget recommends \$1.1 million for staffing expenses, including \$0.9 million from general revenues and \$0.2 million from federal funds. A subsequent amendment request to shift \$45,195 from general revenues to federal funds corrects an

understatement in the value of costs covered by federal funds. H 7171, Substitute A includes the requested adjustment.

**270. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$14,648, including \$12,819 from general revenues based on three individuals from the Office opting to participate in the workshare program.

### **Deaf and Hard of Hearing**

**271. Community Project Coordinator.** The Governor's budget includes new general revenue expenditures of \$30,000 for which the enacted budget included \$30,000 from restricted receipts for a contracted part-time community project coordinator, who assists the director of operations in installing communication assistive devices within state-owned walk-in facilities. H 7171, Substitute A includes funding consistent with the enacted budget.

**272. Computer Aided Real Time Translation & Interpreter Services.** The Governor's recommended budget excludes the enacted amount of \$67,608 from general revenues and restricted receipts for Computer Aided Real Time Translation and Interpreter Services. The Commission obtains interpreter services in order to provide full communication accessibility to both the Commission staff and the public. H 7171, Substitute A includes \$50,000 from restricted receipts.

### **Governor's Commission on Disabilities**

**273. ADA Signing 30th Anniversary.** The Governor's budget includes \$90,000 from all sources, including \$45,000 from general revenues and \$45,000 from restricted receipts to coordinate celebration activities to mark the 30<sup>th</sup> anniversary of signing of the Americans with Disabilities Act. H 7171, Substitute A includes the expenditures from restricted receipts; the Commission will be using donated funds to commemorate the event.

**274. Reappropriation - Livable Home Modification.** The Governor reappropriated \$28,293 from unspent general revenues from FY 2020 for grants for the Livable Home Modification Grant Program, which provides funding to support home modifications and accessibility enhancements to allow individuals to remain in community settings. This would provide \$0.5 million for FY 2021; H 7171, Substitute A includes the reappropriation.

**275. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$18,917 based on three individuals opting to participate in the workshare program.

### **Elementary & Secondary Education**

**276. Advanced Coursework Network Increase.** The Governor recommends \$0.7 million for the advanced coursework network for FY 2021, including \$0.5 million from permanent school funds. The program allows middle school and high school students to enroll in courses offered by network providers, which include non-profit organizations, colleges and universities, and school districts. The proposal adds \$200,000 from an available permanent school fund balance. Use of additional permanent schools funds will eliminate the fund's balance and limit future funding as it typically generates less than the appropriation and the FY 2020 final budget excluded a similar proposal. H 7171, Substitute A maintains funding as enacted.

**277. Capital - School for the Deaf Asset Protection (GBA).** H 7171, Substitute A is consistent with the Governor's requested budget amendment to increase funding for the School for the Deaf asset protection project by \$200,000 from Rhode Island Capital Plan funds, for total funding of \$250,000 for FY 2021. The

additional funds will be used to upgrade the school's security system as there has been a recent surge of violence and property destruction in the immediate area.

**278. COVID Relief - K-12 Reopening Initiatives.** H 7171, Substitute A includes \$39.4 million from Coronavirus Relief funds for activities related to school reopening. This includes contracting with the District Management Group to assist with school reopening, including developing state-level guidance, assisting with district plans, and staff training. This also includes recruiting and training additional substitute teachers, as well as the purchase and distribution of air purifiers to local education agencies.

**279. COVID Relief - Summer Education Programming.** H 7171, Substitute A includes \$7.2 million from Coronavirus Relief funds for three separate programs to support summer learning and in-person services for children including \$2.5 million for grants to municipal and non-profit summer camps to assist with increased operating costs from providing in-person services, \$3.7 million for the Summer Academy for Interactive Learning (SAIL) which offered online summer courses, and \$1.0 million for grants to urban communities to provide summer learning activities.

**280. COVID Relief - Education Stabilization Discretionary.** The final FY 2020 appropriation included \$4.6 million from all sources for administration of the new federal education stabilization funds available through the CARES Act. While funds were not spent by the close of FY 2020, the Department spent \$0.7 million through November 17 to support summer learning opportunities and will distribute the remaining funds to those local education agencies most impacted by the coronavirus. As all funds are expected to be distributed in FY 2021, H 7171, Substitute A includes the funding.

**281. COVID Relief - FY 2020 Aid Carry Forward.** The final FY 2020 appropriation included \$50.0 million from Coronavirus Relief funds to be distributed to local education agencies in proportion to the FY 2019 distribution of Title I-A funds. However, the Department did not begin distributing funds until the fall of 2020. Additionally, charter schools that received a paycheck protection program loan through the CARES Act, had their allocation reduced by the same amount, as required in the final appropriations bill. H 7171, Substitute A includes \$46.7 million from Coronavirus Relief funds to account for the late distribution of funding to districts.

**282. COVID Relief - Rethink K-12 Education Grant.** In August, the Department of Elementary and Secondary Education was awarded a three-year, \$10.9 million competitive grant authorized under the Coronavirus Aid, Relief and Economic Security Act. Funds are limited to the establishment and expansion of virtual learning and course access programs. H 7171, Substitute A includes \$0.6 million from these new funds for FY 2021 based on the Department's revised FY 2021 request.

**283. Curriculum Support (2.0 FTE).** The Governor recommends \$0.3 million from general revenues and authorization for 2.0 new full-time equivalent positions to support curriculum. It should be noted that based on a supplemental personnel request made by the Department in May 2019, the enacted budget included authorization and full-funding for 4.0 new full-time equivalent positions to support curriculum and school leadership, only two of which had been hired by the start of 2020. The Department had filled positions for which no funding was included in the enacted budget. As the Department can repurpose vacancies to meet its priorities, H 7171, Substitute A excludes the funding and authorization.

**284. Davies - Escrow Conversion (GBA).** As part of the FY 2019 audited closing, the State Controller and Auditor General reclassified a number of escrow accounts as restricted receipts. Expenditures within escrow accounts do not typically appear in agency operating budgets. The Governor's budget inadvertently excluded these funds. H 7171, Substitute A contains the Governor's requested amendment to include \$350,000 from restricted receipts to reflect the new guidelines; this is consistent with expected expenses.

**285. Education Aid - March Data Update (GBA).** H 7171, Substitute A is consistent with the Governor's requested budget amendment to add \$7.5 million from general revenues for the tenth year of the education funding formula aid based on updated student enrollment data provided in April. The update affects districts differently with some receiving more and some receiving less than the Governor's budget assumed.

**286. English Language Learner Aid Expansion.** The Governor recommends \$7.5 million from general revenues for the English language learner category of education aid, \$2.5 million more than enacted. Her budget also includes legislation to rename this as multilingual learner aid and outlines specific uses of funds, including new bilingual classrooms and increasing capacity of multilingual educators. H 7171, Substitute A maintains current law and the enacted funding level of \$5.0 million.

**287. English Language Learner Tests.** The Governor recommends \$0.5 million from all sources for English language learner testing, including \$115,000 from new general revenues. H 7171, Substitute A assumes that the additional expenses will be supported within the Department's existing resources.

**288. Pre-K Program to Current Law (1.0 FTE).** The Governor recommends \$4.9 million from general revenues from a proposal to add prekindergarten students enrolled in district-run classrooms to the funding formula, expand the number of non-district classrooms, and add a new full-time equivalent position. The proposal does not limit the expansion of district-run classrooms supported by the formula, so long as they are approved by the Department. Additionally, the state received a three-year, \$26.8 million grant to improve birth through age five education, including creation of new classrooms. It appears that the new federal funds could be used for staff. H 7171, Substitute A maintains current law on the funding formula; it does, however, include \$4.2 million from new federal funds, noted separately.

**289. Prepare RI (1.0 FTE).** The Governor recommends \$0.1 million from general revenues and authorization for one new position to support the Department's Prepare RI program. This program was funded from a multi-year New Skills for Youth grant, which has since expired, that also supported two fellowships. The recommendation would replace one fellowship with a permanent position. H 7171, Substitute A excludes the funding and authorization.

**290. Preschool Development Grant (GBA).** In December 2019, the state was awarded a three-year, \$26.8 million federal grant to improve birth through age five education. The Governor's budget excludes the federal funds, though supporting documents indicate that funds would be used to expand and support the state's prekindergarten program. It should also be noted that the Department awarded 17 new state classrooms in FY 2021 using \$3.0 million of the new federal funds. H 7171, Substitute A is consistent with the Governor's requested amendment to add \$4.2 million from new federal preschool development funds, including the \$3.0 million for classrooms and \$1.2 million for program expenses, including professional development and technical assistance for those new classrooms.

**291. School Building Authority (2.0 FTE).** H 7171, Substitute A does not include the Governor's recommendation to add \$0.2 million from restricted receipts from the Rhode Island Health and Educational Building Corporation in FY 2021 for two new school construction positions, including a finance specialist and a clerk secretary. The recommendation increases the number of funded positions for the School Building Authority from 4.0 positions to 6.0 positions.

**292. School for the Deaf Audiology Center to Current Law.** The Governor's recommended budget reduces funding for the School for the Deaf Audiology Center by \$235,000 based on a proposal to eliminate the requirement that the Department operate a statewide hearing screening program, instead allowing schools to conduct their own hearing screenings or contract with the School for the Deaf to provide the services. This is similar to a 2019 proposal from the Governor's Commission on Government Efficiencies that was not enacted. While the Governor's budget includes general revenue savings from the proposal, it

does not assume collection of any restricted receipts to offset expenses. H 7171, Substitute A does not include this proposal and restores the funding.

**293. School Nutrition Programs.** H 7171, Substitute A includes authorization for an additional \$9.8 million from federal nutrition funds, including national school breakfast and lunch programs. This reflects estimated program expenditures based on the Department's revised FY 2021 request.

**294. School Resource Officer Aid to Current Law.** H 7171, Substitute A does not include the Governor's recommendation to expand the school resource officer category of education aid to include school mental health professionals. The proposal would have provided funding for only one year, FY 2021, and relied on use of general revenues and new Opioid Stewardship funds. Based on the Department's revised request, H 7171, Substitute A includes \$325,000 from general revenues to support current requests for reimbursement, \$1.7 million less than recommended from all sources.

**295. School System Support Staff (3.0 FTE).** The Governor recommends \$1.0 million from all sources to support school systems, including 3.0 new full-time equivalent positions. This includes \$0.6 million from general revenues to fund a second Deputy Commissioner, a director and an education specialist. Meanwhile, the Department announced hiring of the Deputy Commissioner in December 2019, which required repurposing a vacant position and funding. Additionally, the recommendation includes \$0.4 million from unidentified charitable donations to fund professional education. The Department has repurposed positions and funds for at least two of the positions and no charitable funds have been identified. H 7171, Substitute A does not include the new funding or positions.

**296. Shepard Building Parking.** Based on a recommendation from the Governor's Efficiency Commission, the Governor's recommended budget assumes Department of Elementary and Secondary Education staff would have moved from the Shepard Building to the William E. Powers building by the end of FY 2020. The recommendation assumes general revenue savings of \$254,000 from eliminating the need for future parking leases. As the move did not occur and the Department entered into new parking leases for FY 2021, H 7171, Substitute A restores the funding.

**297. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$0.3 million including \$0.2 million from general revenues based on 48 individuals from the Department opting to participate in the workshare program.

### Higher Education

**298. Capital - CCRI - Knight Campus Lab Renovation (GBA).** H 7171, Substitute A includes \$0.3 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 for the Knight Campus Lab Renovation project consistent with the Governor's requested amendment. This would provide \$1.6 million for FY 2021.

**299. Capital - CCRI Asset Protection (GBA).** H 7171, Substitute A is consistent with the Governor's requested amendment to shift \$1.8 million from Rhode Island Capital Plan funds from FY 2021 to FY 2022 through FY 2024 for the Community College's Asset Protection project.

**300. Capital - CCRI Data Cabling and Power Infrastructure (GBA).** H 7171, Substitute A includes \$0.3 million reappropriated from FY 2020 to FY 2021 and shifts \$4.8 million from FY 2021 and FY 2022 to FY 2023 and FY 2024 for the Community College's Data Cabling, and Power Infrastructure project consistent with the Governor's requested amendment.

**301. Capital - CCRI Flanagan Campus Renewal (GBA).** H 7171, Substitute A delays the start of the Flanagan Campus Renewal project by shifting \$2.0 million from Rhode Island Capital Plan funds from FY 2021 to FY 2022, consistent with the Governor's requested amendment.

**302. Capital - CCRI Knight Campus Renewal (GBA).** H 7171 Substitute A includes \$1.6 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 and shifts \$3.5 million to FY 2022 and FY 2023 for the Knight Campus Renewal project consistent with the Governor's requested amendment. This is \$1.9 million less than the Governor's original recommendation for FY 2021; however, total funding for the project is unchanged.

**303. Capital - OPC Asset Protection (GBA).** H 7171, Substitute A includes \$0.3 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 for asset protection at the Office of the Postsecondary Commissioner consistent with the Governor's requested amendment. Future funding for this building is now in the Department of Administration's budget.

**304. Capital - RIC Asset Protection - (GBA).** H 7171, Substitute A includes \$2.8 million from Rhode Island Capital Plan funds reappropriated from FY 2020 for the College's Asset Protection project across FY 2021 through FY 2024. This includes increases of \$0.1 million in FY 2021, \$0.5 million in FY 2022, \$1.2 million in FY 2023, and \$1.0 million in FY 2024. Total funding for the College is the same. The Governor requested an amendment to do something similar; however, that amendment appears to eliminate \$0.1 million in funding.

**305. Capital - RIC Infrastructure Modernization (GBA).** H 7171, Substitute A is consistent with the Governor's requested amendment to shift \$2.5 million from Rhode Island Capital Plan funds from FY 2021 to later years for the College's Infrastructure Modernization project. This includes increases of \$0.8 million in FY 2022, \$1.0 million in FY 2023, and \$0.7 million in FY 2024. Total funding is unchanged.

**306. Capital - URI Asset Protection (GBA).** H 7171, Substitute A is consistent with the Governor's requested amendment to shift \$6.1 million from Rhode Island Capital Plan funds from FY 2021 to FY 2022 through FY 2024 and the out years for the University's Asset Protection project. Total funding is unchanged.

**307. Capital - URI Fine Arts Center (GBA).** H 7171, Substitute A incorporates the \$2.0 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 for the University's Fine Arts Center project consistent with the Governor's requested amendment. This would provide \$2.0 million for FY 2021 to complete the work.

**308. CCRI New Advisors.** The Governor recommends \$0.3 million from general revenues for three new student advisors within the Community College's current authorization. There are 25 full-time and 3 part-time advisors as part of the current advisory staff. H 7171, Substitute A excludes the new funding.

**309. COVID Related Personnel Costs - OPC.** H 7171, Substitute A shifts \$0.1 million from restricted receipts to federal funds based on staff time responding directly to the COVID-19 emergency, consistent with updated federal guidance.

**310. COVID Relief - RIC and CCRI.** The Office of Management and Budget reported that \$9.0 million from the federal Coronavirus Relief Fund has been reserved for the Community College of Rhode Island and Rhode Island College to ensure these institutions of higher education are able to safely reopen. According to the Administration, ongoing communications with the institutions have made it clear that significant COVID-related costs have been incurred which cannot be accommodated within either institution's current resources. This includes approximately \$4.0 million for the College and \$5.0 million

for the Community College. These are costs which are eligible to be paid from the Coronavirus Relief Fund. Based on this, H 7171, Substitute A includes \$9.0 million from federal funds for these costs.

**311. COVID Relief - URI.** H 7171, Substitute A provides \$14.0 million in federal funds for the University of Rhode Island's COVID-related costs and partially offsets that with a reduction of \$7.0 million from general revenues. Significant COVID-related costs have been incurred by the University which are eligible to be covered by federal funds. These include refunds for housing, testing costs, classroom upgrades, personal protective equipment, reconfigurations to meet regulations, training for remote learning, computer equipment and supplies.

**312. Debt Service Adjustments.** H 7171, Substitute A increases recommended expenditures for debt service at the Community College and University by \$1.6 million, including \$0.8 million from general revenues based on updated projections. This includes \$0.8 million for the University's general obligation bond debt service, and \$0.7 million from other funds for energy conservation debt at both the University and Community College.

**313. Dual Enrollment to Enacted.** H 7171, Substitute A provides funding the Dual Enrollment program at the enacted level of \$2.3 million, \$0.9 million less than recommended by the Governor. This program is in its sixth year and allows students to take courses on either a higher education institution campus or at their high school. It is intended to allow qualified high school students to earn college credit at no cost to the students. This program and the Last Dollar Scholarship program are supported by tuition savings fees and guaranty agency reserve funds, which are declining revenue sources. Providing funding at the enacted level preserves resources through FY 2022.

**314. Northern RI Education Center Delay (1.0 FTE).** H 7171, Substitute A does not include the Governor's recommendation to add \$0.1 million from restricted receipts and authorization for a new operations and facilities manager at the Northern Rhode Island Education Center. A location has been secured but will not be open during FY 2021. A similar request for FY 2020 was not approved as a result of the delay in securing a location.

**315. Technical Correction - RIC (GBA).** H 7171, Substitute A includes the Governor's requested budget amendment to lower university and college funds for Rhode Island College by \$1.3 million to correct a technical error. The Governor's budget recommendation inadvertently included more expenditures from this source than requested by the College.

**316. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$17,259, including \$11,829 from general revenues based on four individuals from the Office of Postsecondary Commissioner opting to participate in the workshare program. It should be noted that there are savings of \$1.1 million at the three institutions from 241 individuals opting to participate in the program. H 7171, Substitute A makes no adjustment to capture these savings allowing the schools to use them to offset revenue losses.

### Arts Council

**317. Arts Workforce Development Grant.** H 7171, Substitute A excludes the \$40,000 from general revenues the Governor proposed for a program established with federal funds to develop a skilled arts workforce in Rhode Island. The program launched September 4, 2019 with an initial cohort of nine adults aged 19 to 25. The Council previously reported it had been using federal funds to continue to support the program.

**318. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$14,935 based on three individuals opting to participate in the workshare program.

## Atomic Energy

**319. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$4,922 based on one individual opting to participate in the workshare program.

## Historical Preservation & Heritage Commission

**320. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$54,478 including \$35,045 from general revenues based on nine individuals from the Historical Preservation and Heritage Commission opting to participate in the workshare program.

## Attorney General

**321. Capital - Building Renovations and Repairs (GBA).** H 7171, Substitute A includes the \$27,791 unspent from FY 2020 and reappropriated to FY 2021 for building renovations and asset protection projects for the Attorney General's facilities.

**322. Reappropriation - Legal & Healthcare Oversight Services.** H 7171, Substitute A includes the \$50,360 from general revenues for legal and healthcare oversight services that the Governor reappropriated from FY 2020 to FY 2021. The Office of the Attorney General uses outside services for complex legal matters, health care oversight assistance, and monitoring of mergers.

**323. Current Service Staffing (8.0 FTE).** The Governor's recommendation included \$0.8 million for 8.0 new positions in the Office's budget and assumed keeping ten of the remaining 239.1 positions vacant. The Office's FY 2021 revised request excludes the new positions and reduces spending by \$0.5 million to reflect current staffing projections. H 7171, Substitute A reduces funding consistent with the revised request.

**324. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$94,435 based on 18 individuals opting to participate in the workshare program.

## Corrections

**325. Capital - Asset Protection (GBA).** H 7171, Substitute A includes \$1.0 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 and shifts \$2.1 million from FY 2021 to later years for the Department's asset protection project consistent with the Governor's requested amendment. This would provide \$3.1 million for FY 2021.

**326. Capital - Correctional Facilities - Renovations (GBA).** H 7171, Substitute A includes \$4.6 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 for the Correctional Facilities - Renovations project.

**327. COVID Related Personnel Costs.** H 7171, Substitute A shifts \$78.6 million from general revenues to federal funds based on correctional officers and other eligible Department staff consistent with updated federal guidance.

**328. Discharge Planning Brought In House (7.0 FTE).** H 7171, Substitute A does not contain the Governor's recommendation to add 7.0 new positions to replace contracted discharge planning services which includes helping inmates coordinate and enroll in support services upon their release. The recommendation assumes no additional cost for FY 2021 with the cancellation of its vendor contract negating the costs of additional staff. H 7171, Substitute A restores \$0.3 million for contracted services for the next six months.



**329. High Security Inmate Exchange.** The Governor’s recommendation includes \$0.8 million in savings from the exchange of 24 inmates sentenced at the High Security facility with other New England states; 14 would be placed at the Medium Security facility and 10 at the Maximum Security facility, allowing the closure of two modules at High Security. During the Public Safety subcommittee hearing on July 22, 2020, the Department indicated that interstate inmate transfers were not generally viable because of the pandemic. H 7171, Substitute A does not include the new proposal.

**330. Inmate Apprenticeship Training Initiative (2.0 FTE).** The Governor’s recommendation includes \$0.3 million for 2.0 new positions, instructor contracts, and other ancillary program costs for the development of a workforce development program through the Real Jobs RI program and to connect inmates with employers upon release. H 7171, Substitute A excludes this new initiative.

**331. Medical and Geriatric Parole Initiative.** The Governor’s recommendation includes \$150,000 in savings from expansion of medical parole to include a geriatric parole provision and inmates who are cognitively incapacitated. The provision would allow for the release of elderly incarcerated inmates aged 65 or older who suffer from function impairments, infirmity or illness. At the time of the Governor’s recommendation, the Department had identified six individuals who could be released under this statute. H 7171, Substitute A excludes this new initiative.

**332. Personnel and Operating - Q1.** H 7171, Substitute A includes \$0.3 million less than recommended for personnel and operating costs based the population and spending through the first quarter. Population savings assume 282 fewer inmates are offset by additional medical and other per diem population costs. This also includes additional parking costs of \$54,000 based on shifting debt service expenses related to the Garrahy Garage to user agency budgets as well as savings from staff turnover and centralized services.

**333. Reduced Offsite Care (4.0 FTE).** The Governor’s recommendation proposed \$0.4 million in savings from expanding the Department’s in house medical capabilities. This includes \$0.5 million for 4.0 new positions offset by \$0.8 million in savings from reductions of \$0.7 million for correctional officer escorts to hospitals and off-site appointments and \$0.2 million for external medical expenses. H 7171, Substitute A does not include this new initiative and adjusts staffing and funding accordingly.

**334. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$0.7 million based on 118 individuals opting to participate in the workshare program.

## **Judicial**

**335. Capital - Judicial Complexes Asset Protection (GBA).** H 7171, Substitute A includes the \$21,648 from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 and shifts \$0.5 million from FY 2021 to FY 2022 for the Judicial Complexes Asset Protection project consistent with the Governor’s requested amendment. Projects planned through FY 2025 include security upgrades, courtroom restoration, fire suppression and alarm system upgrades, interior refurbishments to public areas and office spaces, LED lighting replacements, and elevator upgrades. Approved five-year funding is designed to be flexible for changing priorities without increases in any given year. This would provide \$521,648 for FY 2021.

**336. Capital - Judicial Complexes Fan Coils (GBA).** H 7171, Substitute A shifts \$0.5 million from FY 2021 to FY 2022 and FY 2023 for the Judicial Complexes Fan Coils project consistent with the Governor’s requested amendment. This would provide \$250,000 for each FY 2022 and FY 2023.

**337. Capital - Licht Complex Restoration.** H 7171, Substitute A includes the \$11,721 reappropriated from Rhode Island Capital Plan funds from FY 2020 to FY 2021 for the Licht Complex Restoration project consistent with the Governor’s requested amendment. Restoration projects include plaster repair,

courtroom seating and benches, carpet replacement, woodwork, ornamental plaster restoration and repainting. This would provide \$761,721 for FY 2021.

**338. Capital - Murray Courtroom Restoration (GBA).** H 7171, Substitute A shifts \$350,000 from Rhode Island Capital Plan funds from FY 2021 to FY 2022 for the Murray Courtroom Restoration project consistent with the Governor's requested amendment. Restoration projects include replacing courtroom seating and benches, sealing and repainting the walls, carpet replacement, and woodwork and ornamental plaster restoration. This would provide \$700,000 for FY 2022.

**339. Capital - Noel Shelled Courtroom Build Out.** H 7171, Substitute A includes the \$40,366 reappropriated from Rhode Island Capital Plan funds from FY 2020 to FY 2021 for the Noel Shelled Courtroom Build Out project consistent with the Governor's requested amendment to complete the project.

**340. Personnel and Operations Q1.** H 7171, Substitute A reduces expenditures consistent with the Judiciary's first quarter report which identified \$2.0 million of general revenue savings compared to the Governor's recommended budget, excluding workshare. Most of the savings, \$1.4 million, is from vacancies. Other significant savings include \$0.2 million less for juror fees from trial delays, shifting \$0.2 million of court technology costs from general revenues to restricted receipts, as well as other savings from temporary closures. The Judiciary has also indicated it does not need the \$2.1 million of unspent general revenues from FY 2020 that was reappropriated to FY 2021 in accordance with current law requirements.

**341. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$1.1 million, including \$0.9 million from general revenues based on 182 individuals from the Judiciary opting to participate in the workshare program.

#### **Military Staff**

**342. Capital - Asset Protection (GBA).** H 7171, Substitute A includes \$0.3 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021, shifts \$0.1 million from FY 2021 to FY 2022, and adds \$0.2 million to both FY 2022 and FY 2023 for the Military Staff's asset protection project consistent with the Governor's requested amendment. This would provide \$0.8 million for FY 2021.

**343. Capital - Bristol Readiness Center (GBA).** H 7171, Substitute A includes the \$0.1 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 and reduces FY 2021 funding by \$33,000 to reflect updated project costs consistent with the Governor's requested amendment for a feasibility study for the Military Staff's Bristol Readiness Center. This would provide \$0.1 million for FY 2021.

**344. Capital - Joint Force Headquarters Building (GBA).** H 7171, Substitute A includes the \$1.6 million reappropriated from Rhode Island Capital Plan funds from FY 2020 to FY 2021 for construction of a Joint Force Headquarters Building. The project was delayed as a result of the COVID-19 emergency and is expected to be completed in FY 2021.

**345. National Guard Activation.** Beginning in August 2020, the federal government required the state to be responsible for a portion of the National Guard state activation costs related to the COVID-19 emergency which had previously been paid exclusively by the federal government. The Budget Office estimates \$5.5 million will be required for costs through December and indicates that these expenses are eligible for Coronavirus Relief Fund use. H 7171, Substitute A includes \$5.5 million from the available federal funds and also includes \$60,000 from general revenues for National Guard activation during the November 2020 election.

**346. New Firefighters (3.0 FTE).** The Governor recommends \$0.2 million from federal funds to add 3.0 full-time equivalent new positions for the Rhode Island National Guard firefighters program, including \$0.2 million for salaries and benefits and \$26,560 for related training and equipment. H 7171, Substitute A does not include the new positions or funding.

**347. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$16,835, including \$12,562 from general revenues based on two individuals from the Military Staff opting to participate in the workshare program.

### **Public Safety**

**348. Adult Use Marijuana Implementation.** H 7171, Substitute A does not include the Governor's proposal for a new adult use marijuana program and excludes all related revenues and expenses including the \$0.5 million from restricted receipts to be used by the Division of State Police for training troopers on drug recognition techniques.

**349. 58th Training Academy Delay.** The Governor's budget includes \$1.2 million from all sources including \$0.4 million from general revenues to train a class of 25 recruits at the State Police Training Academy beginning in June 2021. The Department's FY 2021 revised request reflects a four month delay and class would begin in September 2021, which is FY 2022. H 7171, Substitute A excludes the funding for stipends and operating costs to reflect the delay.

**350. Capital - Asset Protection (GBA).** H 7171, Substitute A reflects the Governor's requested amendment to incorporate the \$0.5 million of unspent Rhode Island Capital Plan funds reappropriated to FY 2021 and \$0.2 million from FY 2021 to FY 2022 for asset protection projects.

**351. Capital - Headquarters Roof Replacement (GBA).** H 7171, Substitute A reflects the Governor's requested amendment to incorporate the \$0.6 million unspent balance from FY 2020 from Rhode Island Capital Plan funds for the roof project to FY 2021.

**352. Capital - Master Plan (GBA).** H 7171, Substitute A reflects the Governor's requested amendment to incorporate the unspent FY 2020 balance of \$37,566 from Rhode Island Capital Plan funds in FY 2021 for the master plan project. This is the final payment for this project.

**353. Capital - Training Academy Upgrades (GBA).** H 7171, Substitute A reflects the Governor's requested amendment adding \$35,160 of unspent Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 and shifting \$0.3 million from FY 2021 to FY 2022.

**354. COVID Related Personnel Costs.** H 7171, Substitute A shifts \$40.0 million from general revenues and restricted receipts to federal funds based on staff time responding directly to the COVID-19 emergency, consistent with updated federal guidance.

**355. JAG Grants.** H 7171, Substitute A does not include \$15,000 from restricted receipts from the interest earned on the Edward Byrne Memorial Justice Assistance Grant. This was an overstatement included in the Governor's budget. The Department of Public Safety's FY 2021 revised request excludes these funds.

**356. Police Powers - Sheriffs Overtime.** H 7171, Substitute A does not include the Governor's proposal extending emergency police powers to out-of-state law enforcement officials to guard hospitalized detainees up to eight hours from when that person is released from a Rhode Island hospital. The Governor's budget assumes overtime savings of \$0.1 million in the Division of Sheriffs based on this proposal.

**357. Reappropriation - Capitol Police Security Equipment.** H 7171, Substitute A includes the Governor's reappropriation of \$0.1 million of unspent general revenues from FY 2020 to FY 2021 to purchase safety equipment for the Capitol Police.

**358. Reappropriation - Sheriffs Security Equipment.** H 7171, Substitute A includes the Governor's reappropriation of \$0.2 million of unspent general revenues from FY 2020 to FY 2021 to purchase safety equipment for the Division of Sheriffs.

**359. Reappropriation - State Police Network Switch.** H 7171, Substitute A includes the Governor's reappropriation of \$0.1 million of unspent general revenues from FY 2020 to FY 2021 for State Police to complete its replacement of a network switch.

**360. Road Construction Reimbursements.** H 7171, Substitute A includes \$0.1 million more from road construction reimbursements from the Department of Transportation to reflect technical corrections to the Governor's recommended budget.

**361. Town of Exeter Reimbursement.** H 7171, Substitute A does not include the Governor's proposal to expand options for revenues that can be withheld from cities and towns that owe the state to include meals and beverage, tourism, and public service corporation taxes. The Governor's proposal included \$0.4 million of general revenue savings in the Department of Public Safety's budget assuming the Town of Exeter would to pay the annual personnel costs billed for providing public safety to the town based on this proposal.

**362. Turnover Savings.** H 7171, Substitute A includes \$1.5 million in additional general revenue turnover savings based on the Department's first quarter report and revised request. The Department is experiencing a higher than anticipated level of vacancies in the divisions of Sheriffs and State Police.

**363. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$0.1 million mostly from general revenues based on 14 individuals from the Department of Public Safety opting to participate in the workshare program.

#### **Public Defender**

**364. New Assistant Public Defenders (3.0 FTE).** H 7171, Substitute A does not include the Governor's recommendation to add three new attorneys and excludes the associated funding of \$0.3 million from general revenues.

**365. Turnover and Operating - Q1.** H 7171, Substitute A reduces general revenues by \$141,800 based on first quarter spending including \$200,000 in turnover savings. The Governor's recommended budget assumes leaving one position vacant. As of November 7, the Office has four vacant positions. These saving are offset by \$58,200 in costs for use of the Garrahy parking garage shifted from the Department of Administration's budget.

**366. Workshare.** H 7171, Substitute A reduces FY 2021 general revenue expenditures by \$216,540 based on 39 individuals from the Public Defender's Office opting to participate in the workshare program..

#### **Emergency Management Agency**

**367. Capital - Emergency Management Building (GBA).** H 7171, Substitute A shifts \$250,000 from Rhode Island Capital Plan funds from FY 2021 to FY 2022 for the Emergency Management Building project consistent with the Governor's requested amendment. This would provide \$250,000 in FY 2022

for a feasibility study of the headquarters on New London Avenue, and of the separately located warehouse facility, for use as a state emergency operations center.

**368. COVID Related Expenses.** Under current federal law, the Stafford Act authorizes the President to provide federal assistance when the magnitude of an incident exceeds a government's capabilities to respond or recover. On March 13, 2020, the President declared that an emergency exists nationwide as a result of COVID-19 beginning on January 20, 2020. The Stafford Act constitutes the statutory authority for most federal disaster response activities as they pertain to Federal Emergency Management Agency (FEMA) programs. Under this declaration, Rhode Island qualifies for reimbursement of 75 percent of certain expenses. According to the Administration, \$94.5 million will be spent on supplies during FY 2021, the majority on ventilators and personal protective equipment. H 7171, Substitute A adds \$32.0 million from federal funds to account for these expenses. It also adds \$0.3 million from general revenues for expenses expected to occur after December 30 when the Coronavirus Relief funds expire.

**369. COVID Related Personnel Costs.** H 7171, Substitute A assumes shifting \$0.5 million of personnel costs from general revenues to federal funds based on the agency's staff time responding directly to the COVID-19 emergency.

### **Environmental Management**

**370. Capital - Blackstone Park Improvements (GBA).** Consistent with the Governor's requested amendment, H 7171, Substitute A includes \$1.1 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 for ongoing efforts at improving access to the Blackstone River Valley and related work in the Blackstone Valley Corridor.

**371. Capital - Fort Adams Trust (GBA).** Consistent with the Governor's requested amendment, H 7171, Substitute A includes \$0.3 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 for ongoing restoration projects at Fort Adams.

**372. Capital - Galilee Piers (GBA).** Consistent with the Governor's requested amendment, H 7171, Substitute A includes \$1.5 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 and adds \$6.0 million to address newly discovered structural damages in the bulkhead for the Galilee Piers project.

**373. Capital - Recreational Facilities Asset Protection (GBA).** H 7171, Substitute A is consistent with the Governor's requested amendment to shift \$250,000 from Rhode Island Capital Plan funds from FY 2021 to FY 2022 for the Recreational Facilities Asset Protection project.

**374. Capital - Recreational Facilities Improvements (GBA).** H 7171, Substitute A includes \$0.2 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 and shifts \$1.8 million from FY 2021 to FY 2022 through FY 2025. The Governor's requested budget amendment called for the delay in FY 2021 shown here. It also to shifted \$1.0 million from Rhode Island Capital Plan funds to newly requested bond funding which is not reflected in H 7171, Substitute A. Total Rhode Island Capital Plan funding of \$15.6 million is consistent with the Governor's FY 2021 through FY 2025 capital recommendation.

**375. Capital - State Building Demolition (GBA).** H 7171, Substitute A includes \$0.1 million from Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 for the Department's state building demolition projects.

**376. COVID Related Personnel Costs.** H 7171, Substitute A assumes \$1.6 million from federal funds from the Coronavirus Relief Fund to offset general revenues for law enforcement costs the Department incurred as the result of the coronavirus.

**377. COVID Relief - Government Readiness.** The federal CARES Act adopted in response to the crisis provided \$1,250.0 million to Rhode Island for related expenditures incurred between March 1, 2020 and December 30, 2020, including responding directly to the emergency. Based on the Budget Office's projections, H 7171, Substitute A includes \$1.9 million from federal Coronavirus Relief funds and \$0.3 million from general revenues for Department expenses occurring after December 30. Expenditures are for enforcing social distancing guidelines and safety protocols at state parks and beaches and cleaning supplies.

**378. Enhanced Mosquito Abatement Delay.** H 7171, Substitute A excludes the Governor's proposal to expand and enhance the Mosquito Abatement Program and reduces general revenues by \$48,984. This reflects the cost of three new seasonal employees to set traps, collect and identify mosquitos, and deliver samples to the Department of Health. These expenditures did not occur in FY 2021 because this proposal was still pending and the Department's revised request and first quarter report reflect the reduction.

**379. Environmental Engineers (5.0 FTE).** H 7171, Substitute A does not contain the Governor's proposal for the Department to charge higher fees for complex or multi-jurisdiction projects in order to support new case managers to facilitate the projects. The Governor's budget included \$0.6 million in revenues with the offsetting cost of personnel assuming the proposal would be effective July 1, 2020. Costs include \$0.5 million from general revenues for 5.0 full-time engineering positions. The updated FY 2021 value of the fees is \$0.1 million assuming an April 1 start. The Department's FY 2021 revised budget request indicates this initiative will not occur in FY 2021.

**380. LASA Grant Expansion.** The Governor recommends \$250,000 from general revenues for the Local Agriculture and Seafood program, which is \$150,000 more than enacted. This program provides grants to local agriculture and food businesses to support growth, development and marketing. H 7171, Substitute A excludes the enhanced funding and provides \$100,000 which is consistent with the FY 2020 enacted budget and the Department's FY 2021 revised request.

**381. New Parks Positions (6.0 FTE).** The Governor recommends \$0.3 million from general revenues and 6.0 new full-time equivalent positions for the Division of Parks and Recreation. H 7171, Substitute A excludes the new positions and funding.

**382. Turnover.** H 7171, Substitute A assumes \$0.5 million of additional turnover savings for FY 2021 based on the Department's current staffing and projected spending based on the Department's first quarter report.

**383. World War II State Park Transition Completion.** The state made a five year commitment to fund the maintenance and operation of the World War II State Park while transitioning control to Woonsocket. The Department of Environmental Management made its final payment to Woonsocket in FY 2020. The Governor's budget inadvertently included the \$250,000 again in FY 2021. H 7171, Substitute A excludes the funding.

**384. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$0.6 million including \$0.4 million from general revenues based on 91 individuals from the Department of Environmental Management opting to participate in the workshare program.

## Coastal Resources Management Council

**385. Capital - Coastal Storm Risk Study.** H 7171, Substitute A shifts \$0.5 million from Rhode Island Capital Plan funds from FY 2021 to FY 2022 for the Council's Coastal Storm Risk Study based on delays in scheduling with the Army Corps of Engineers.

**386. Capital - Green Hill Pond (GBA).** H 7171, Substitute A includes the \$2,159 of unspent Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 to complete the Green Hill Pond project consistent with the Governor's requested amendment.

**387. Capital - Narragansett Bay SAMP (GBA).** H 7171, Substitute A includes the \$89,151 of unspent Rhode Island Capital Plan funds reappropriated from FY 2020 to FY 2021 to complete the Narragansett Bay Special Area Management Plan project consistent with the Governor's requested amendment.

**388. Federal Grant Adjustments.** The Governor's recommendation includes \$0.4 million in expenditures from federal grants. Since the recommendation, the Council has received a new grant totaling \$0.3 million to monitor the Block Island wind farm and has requested carry forward of unused funding as part of its FY 2021 revised request. H 7171, Substitute A increases the spending authorization for federal funds by \$0.7 million to reflect expected expenditures for FY 2021.

**389. Legal.** The Governor's FY 2021 recommendation adds \$20,000 for legal services based on a request from the Council in anticipation of potential future needs. Current and prior year spending suggest the enacted level of \$106,000 is sufficient and H 7171, Substitute A excludes the additional funding.

**390. Turnover Q1.** Based first quarter spending and current vacancies, the Governor's recommended budget exceeds expected need for the Council's staffing by \$0.1 million from general revenues. H 7171, Substitute A reduces funding to reflect projected expenses.

**391. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$32,782, including \$25,388 from general revenues based on four individuals from the Coastal Resources Management Council opting to participate in the workshare program.

## Transportation

**392. Capital - Bike Path Facilities Maintenance.** H 7171, Substitute A includes the Governor's requested amendment to reduce expenditures for the Bike Path Facilities Maintenance project by \$361,594. This includes the reappropriation of \$38,406 from FY 2020 unspent funds, offset by a shift of \$0.4 million from FY 2021 to FY 2022 and FY 2023. Through an agreement, the Department of Environmental Management maintains the bike paths and performs minor repairs; larger scale improvements, such as repaving, are the responsibilities of the Department of Transportation.

**393. Capital - Highway Improvement Program (GBA).** General obligation bond proceeds had been historically used to as the state's match for annual allocation of federal transportation funds with gas tax revenues repaying that borrowing. Resources from motor vehicle fees and Rhode Island Capital Plan funds replaced the annual debt and no new bonds have been authorized since 2010. The Governor requested an amendment in October to remove \$30.0 million of pay-go funding from Rhode Island Capital Plan funds based on the reduced resources available for FY 2021. An earlier amendment request in July proposed \$30.0 million of new general obligation bonds for voter approval to make up for other shortfalls in state match related to a decline in gas tax and other sources resulting from the current crisis. H 7171, Substitute A includes the adjustment to Rhode Island Capital Plan funds but also provides replacement funding by increasing the transportation bond proposal to \$71.7 million. The bond will ensure sufficient match for existing commitments as well as the potential for additional federal authorizations.

**394. Capital - Land and Buildings (GBA).** H 7171, Substitute A includes the Governor's requested amendment to include an additional \$51,363 from Rhode Island Capital Plan funds for the Rhode Island Public Transit Authority to improve security at its Elmwood facilities, provide upgrades to various transit hubs and park and ride facilities while also making multi-year improvements to bus stops, sidewalks and transit hubs. These funds are used to match federal transit funds that the Authority receives. The amendment includes \$356,363 from FY 2020 unspent funds and shifts \$0.3 million of expenses from FY 2021 to FY 2022 through FY 2025.

**395. Capital - Maintenance Equipment Replacement (GBA).** The capital budget includes \$8.4 million from Rhode Island Capital Plan funds in the five-year plan to replace capital equipment such as heavy trucks, sweepers, loaders, backhoes and tractors. H 7171, Substitute A includes the Governor's requested amendment to delay \$1.5 million from FY 2021 to FY 2022 and FY 2023. The amendment also excludes the reappropriation of \$62,552 from FY 2020 unspent funds.

**396. Capital - Maintenance Facilities Asset Protection (GBA).** The capital budget includes \$0.6 million from Rhode Island Capital Plan funds for FY 2021 to make repairs at its seven maintenance facilities throughout the state and its headquarters in Warwick. Improvements would include pavement repair, replacement and repairs of heating, ventilation and air conditioning systems, roof repairs and improvements to windows and garage doors at several facilities. H 7171, Substitute A includes the Governor's requested amendment to incorporate \$0.2 million from FY 2020 unspent funds and shift \$0.3 million from FY 2021 expenses to FY 2022.

**397. Capital - Pawtucket Bus Hub & Transit Corridor (GBA).** H 7171, Substitute A includes the Governor's requested amendment to incorporate the reappropriation of \$0.7 million from Rhode Island Capital Plan funds unspent in FY 2020 to match federal funds to build a transit hub adjacent to the new commuter rail station on the Pawtucket/Central Falls border. The project will include six to eight bus berths, shelters, real-time bus information, ticket vending machines, waiting space and restrooms.

**398. Capital - Providence Transit Connector (GBA).** H 7171, Substitute A includes the Governor's requested amendment to incorporate the reappropriation of \$40,215 from unspent Rhode Island Capital Plan funds in FY 2021 to close out the Providence Transit Connector, which included improvements to the transit corridor between Kennedy Plaza, the Providence Train Station and other hubs.

**399. Capital - RIPTA College Hill Bus Tunnel (GBA).** The capital budget includes \$1.9 million from Rhode Island Capital Plan funds, including \$0.8 million for FY 2021 to match \$7.7 million from federal funds to make structural and drainage repairs, and safety improvements to the College Hill Bus Tunnel. The Authority will receive \$91.2 million from the CARES Act for transit projects, and will now be able to free up other resources to use as match for this project. H 7171, Substitute A includes the Governor's requested amendment to remove the Rhode Island Capital Plan funds to reflect this.

**400. Capital - Salt Storage Facilities (GBA).** H 7171, Substitute A includes the Governor's requested amendment to incorporate FY 2020 unspent funds of \$0.1 million from Rhode Island Capital Plan funds for salt storage facility projects. The amendment also defers \$1.0 million from FY 2021 to FY 2022. There are 23 salt storage facilities throughout the state. Of these sites, four remain without an enclosed facility, resulting in a negative impact on both the environment as well as operational efficiency.

**401. Capital - Train Station Maintenance & Repairs (GBA).** The capital budget assumes use of \$350,000 annually from Rhode Island Capital Plan funds for repairs at three train stations: Woonsocket, Kingston and Westerly. The Governor requested an amendment shifting \$0.1 million of FY 2021 expenses to FY 2022 and including the reappropriation of \$77,932 from FY 2020 unspent funds. H 7171, Substitute A includes the adjustment.



**402. Capital - URI Mobility Hub (GBA).** The capital budget includes \$0.5 million from Rhode Island Capital Plan funds in FY 2021 to match federal transit funds to construct a new bus hub at the University of Rhode Island Kingston Campus. H 7171, Substitute A reflects the Governor's requested amendment delaying the project start to FY 2022.

**403. Capital - Warwick Bus Hub (GBA).** The capital budget includes \$260,000 from Rhode Island Capital Plan funds to match \$1.0 million from federal funds to construct a new bus hub to serve the Community College's Knight Campus in Warwick. The Governor requested an amendment to incorporate the reappropriation of \$120,000 from FY 2020 and delaying \$140,000 to FY 2022, reflecting a project delay. H 7171, Substitute A includes the adjustment.

**404. Capital - Welcome Center (GBA).** The capital budget includes \$150,000 annually from Rhode Island Capital Plan funds to renovate the Welcome Center facility in Richmond. Program expenditures include restroom renovations, roof repair and a new filtration system. H 7171, Substitute A includes the Governor's requested amendment to increase FY 2021 expenditures by \$26,208. This includes the reappropriation of \$126,208 from FY 2020 unspent funds and shifts \$0.1 million from FY 2021 expenses to FY 2023 and FY 2024.

**405. Gas Tax - GARVEE Bonds Yield.** H 7171, Substitute A includes adjustments to gas tax proceeds to reflect a downward revision to the per penny yield estimate. The adjustment will decrease the debt service payment for the Department's GARVEE bonds, which are paid for with the equivalent of two cents of the gasoline tax, by \$1.1 million.

**406. Gas Tax - Turnpike and Bridge Yield.** H 7171, Substitute A includes adjustments to gas tax proceeds to reflect a downward revision to the per penny yield estimate. This includes a \$2.0 million reduction in the amount transferred to the Rhode Island Turnpike and Bridge Authority which receives 3.5 cents of the gas tax and brings the total transfer to \$14.2 million to the Authority.

**407. New Positions - 45.0 FTE.** The Governor recommends \$1.0 million from federal funds and gasoline tax proceeds to support 45.0 new positions in the Department for highway maintenance, construction and other areas. This assumes on average all new recommended positions are filled only half the year as well as \$1.3 million from overtime savings in the Maintenance Division. H 7171, Substitute A excludes these new positions and adjusts expenditures and staffing authorization accordingly.

**408. Public Utilities Access Permit Fee.** The recommended budget includes the enacted amount of \$500,000 in receipts charged to utility companies for accessing the Department's rights-of-way. Subsequently, the Department indicated that utility companies are now fixing rights-of-way to its standards and it will not be implementing a fee at this time. H 7171, Substitute A adjusts expenditures accordingly.

**409. RI Public Rail Corporation (GBA).** H 7171, Substitute A includes the Governor's requested amendment to increase restricted receipt expenditures for the Rhode Island Public Rail Corporation by \$55,000, for a total of \$165,000 based on updated estimates. These expenditures, previously paid through an escrow account not reflected in the budget, were converted to restricted receipts based on an audit finding regarding the proper treatment of these expenses.

**410. RIPTA - Gas Tax Yield and HMA Adjustments.** H 7171, Substitute A includes a transfer of \$49.1 million from gasoline tax proceeds and highway maintenance funds to the Rhode Island Public Transit Authority. This is \$5.9 million less than the recommended budget, including \$5.5 million less to reflect a downward revision to the gasoline tax yield estimate and \$0.4 million less for the Authority's share of the highway maintenance account based on updated estimates.

**411. Turnover Savings.** H 7171, Substitute A includes \$3.0 million in additional turnover savings from gasoline tax proceeds based on projected salary and benefit costs for which the FY 2021 recommended budget included \$93.3 million from all sources. Total funding provided would support approximately 730 positions and as of the pay period ending November 7, the Department had 719.0 full-time equivalent positions filled.

**412. Winter Maintenance Operations.** H 7171, Substitute A includes \$5.0 million less than the recommended budget for winter maintenance operations. This would provide \$13.0 million for FY 2021 and is consistent with average expenditures for the last five completed fiscal years and available resources.

**413. Workshare.** H 7171, Substitute A reduces FY 2021 expenditures by \$0.9 million from federal funds and gasoline tax proceeds based on 126 individuals from the Department opting to participate in the workshare program.